

PREA Facility Audit Report: Final

Name of Facility: Camp Aspen Alternative Youth Placement

Facility Type: Juvenile

Date Interim Report Submitted: NA

Date Final Report Submitted: 09/18/2023

| Auditor Certification | |
|---|---|
| The contents of this report are accurate to the best of my knowledge. | <input checked="" type="checkbox"/> |
| No conflict of interest exists with respect to my ability to conduct an audit of the agency under review. | <input checked="" type="checkbox"/> |
| I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template. | <input checked="" type="checkbox"/> |
| Auditor Full Name as Signed: Robert Manville | Date of Signature: 09/18/ 2023 |

| AUDITOR INFORMATION | |
|-------------------------------------|---------------------------|
| Auditor name: | Manville, Robert |
| Email: | robertmanville9@gmail.com |
| Start Date of On-Site Audit: | 08/14/2023 |
| End Date of On-Site Audit: | 08/15/2023 |

| FACILITY INFORMATION | |
|-----------------------------------|---|
| Facility name: | Camp Aspen Alternative Youth Placement |
| Facility physical address: | 5300 Broad River Road, Columbia, South Carolina - 29212 |
| Facility mailing address: | |

Primary Contact

| | |
|--------------------------|------------------------|
| Name: | D. Maria Speaks |
| Email Address: | dspeaks@abraxasyfs.com |
| Telephone Number: | (803) 551-1100 |

Superintendent/Director/Administrator

| | |
|--------------------------|----------------------------|
| Name: | Derek Pugh |
| Email Address: | Derrek.pugh@abraxasyfs.com |
| Telephone Number: | (803) 551-1100 |

Facility PREA Compliance Manager

| | |
|--------------------------|------------------------|
| Name: | Maria Speaks |
| Email Address: | dspeaks@abraxasyfs.org |
| Telephone Number: | O: (803) 551-1100 |

Facility Characteristics

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| Designed facility capacity: | 30 |
| Current population of facility: | 24 |
| Average daily population for the past 12 months: | 22 |
| Has the facility been over capacity at any point in the past 12 months? | No |
| Which population(s) does the facility hold? | Males |
| Age range of population: | 12-19 |
| Facility security levels/resident custody levels: | staff secure and open residential |
| Number of staff currently employed at the | 29 |

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| facility who may have contact with residents: | |
| Number of individual contractors who have contact with residents, currently authorized to enter the facility: | 0 |
| Number of volunteers who have contact with residents, currently authorized to enter the facility: | 1 |

| AGENCY INFORMATION | |
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| Name of agency: | Abraxas Youth and Family Services |
| Governing authority or parent agency (if applicable): | |
| Physical Address: | 2840 Liberty Avenue, Suite 300, Pittsburgh, Pennsylvania - 15222 |
| Mailing Address: | |
| Telephone number: | 7172535227 |

| Agency Chief Executive Officer Information: | |
|--|-------------------------|
| Name: | Jeff Giovino |
| Email Address: | jgiovino@abraxasyfs.org |
| Telephone Number: | 717-599-0452 |

| Agency-Wide PREA Coordinator Information | | | |
|---|------------|-----------------------|------------------------|
| Name: | Danny Cole | Email Address: | dancole@abraxasyfs.org |

| Facility AUDIT FINDINGS |
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| Summary of Audit Findings |
| The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met. |

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

Number of standards exceeded:

4

- 115.311 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
- 115.333 - Resident education
- 115.341 - Obtaining information from residents
- 115.378 - Interventions and disciplinary sanctions for residents

Number of standards met:

39

Number of standards not met:

0

POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit: 2023-08-14

2. End date of the onsite portion of the audit: 2023-08-15

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?

Yes
 No

a. Identify the community-based organization(s) or victim advocates with whom you communicated: Pathway to Healing; Columbia, SC program

AUDITED FACILITY INFORMATION

14. Designated facility capacity: 30

15. Average daily population for the past 12 months: 22

16. Number of inmate/resident/detainee housing units: 2

17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?

Yes
 No
 Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

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| 36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit: | 0 |
| 38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit: | 0 |
| 39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit: | 0 |
| 40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit: | 0 |
| 41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit: | 0 |
| 42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit: | 0 |
| 43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit: | 0 |

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| <p>44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</p> | <p>1</p> |
| <p>47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p> | <p>No text provided.</p> |
| <p>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</p> | |
| <p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p> | <p>27</p> |
| <p>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p> | <p>1</p> |

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| <p>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p> | <p>0</p> |
| <p>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</p> | <p>No text provided.</p> |
| <p>INTERVIEWS</p> | |
| <p>Inmate/Resident/Detainee Interviews</p> | |
| <p>Random Inmate/Resident/Detainee Interviews</p> | |
| <p>53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</p> | <p>13</p> |
| <p>54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</p> | <p><input checked="" type="checkbox"/> Age</p> <p><input type="checkbox"/> Race</p> <p><input type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic)</p> <p><input type="checkbox"/> Length of time in the facility</p> <p><input checked="" type="checkbox"/> Housing assignment</p> <p><input type="checkbox"/> Gender</p> <p><input type="checkbox"/> Other</p> <p><input type="checkbox"/> None</p> |
| <p>55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</p> | <p>I interviewed students from each of the two housing units.</p> |
| <p>56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |

57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):

No text provided.

Targeted Inmate/Resident/Detainee Interviews

58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:

2

As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".

60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:

0

a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:

Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.

The inmates/residents/detainees in this targeted category declined to be interviewed.

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| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the clinical director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>1</p> |
| <p>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the director director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |

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| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the director director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the clinical director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p> | <p>0</p> |

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| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the clinical director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the clinical director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p> | <p>0</p> |

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| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p>The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the clinical director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p> | <p>1</p> |
| <p>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p> | <p>0</p> |
| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p>The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |

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| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Prior to the audit I had a round table discussion with the clinical director, medical coordinator and mental health staff to review all the students that were at the center the days of the audit.</p> |
| <p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p> | <p>No text provided.</p> |
| <p>Staff, Volunteer, and Contractor Interviews</p> | |
| <p>Random Staff Interviews</p> | |
| <p>71. Enter the total number of RANDOM STAFF who were interviewed:</p> | <p>14</p> |
| <p>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p> | <p><input type="checkbox"/> Length of tenure in the facility</p> <p><input checked="" type="checkbox"/> Shift assignment</p> <p><input type="checkbox"/> Work assignment</p> <p><input type="checkbox"/> Rank (or equivalent)</p> <p><input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)</p> <p><input type="checkbox"/> None</p> |
| <p>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
| <p>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p> | <p>No text provided.</p> |

Specialized Staff, Volunteers, and Contractor Interviews

Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.

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| 75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors): | 8 |
| 76. Were you able to interview the Agency Head? | <input checked="" type="radio"/> Yes No |
| 77. Were you able to interview the Warden/Facility Director/Superintendent or their designee? | <input checked="" type="radio"/> Yes No |
| 78. Were you able to interview the PREA Coordinator? | <input checked="" type="radio"/> Yes No |
| 79. Were you able to interview the PREA Compliance Manager? | <input checked="" type="radio"/> Yes No NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards) |

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

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| | Other |
| 81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 83. Provide any additional comments regarding selecting or interviewing specialized staff. | No text provided. |

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

84. Did you have access to all areas of the facility?

Yes
 No

Was the site review an active, inquiring process that included the following:

85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?

Yes
 No

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| 86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| 87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| 88. Informal conversations with staff during the site review (encouraged, not required)? | <input checked="" type="radio"/> Yes <input type="radio"/> No |

89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).

Camp Aspen is an open campus residential facility operated by Abraxas Youth and Family Services since 2021. The program operates under the authority of and receives its students from the South Carolina Department of Juvenile Justice (SCDJJ). The facility is located behind the state-operated Juvenile Justice and Correctional Complex in Columbia, South Carolina. Camp Aspen services male ages 12-19. The facility houses up to 30 male students with an average population of 24. There were 22 students assigned to the center at the time of the onsite audit. Camp Aspen is a community-based residential program that utilizes residential re-entry treatment in the context of a community justice model. Services include substance abuse and co-occurring disorders treatment, grief counseling, relapse prevention, interpersonal skills, family relationships and cognitive behavioral therapy. Additional services include academic and special education, art therapy, GED preparation and testing, aftercare, behavioral management, community service, and independent living skills. Individual and group Psychotherapy is also available for students identified as needing those services. Camp Aspen's six facility buildings are arranged in a horseshoe around a courtyard. The complex contains an Administrative Building with counseling offices; two dormitory-style Housing Units (A & B), each with bunkbeds for 18 students, laundry facilities and a separate shower/bathroom area at the end of each dormitory. There is also a separate Dining Hall/Kitchen building which is utilized for family visits and a School building with two classrooms and a Resource Center. The latter also serves as space for group meetings and therapy sessions. There is a separate modular classroom utilized as a Computer Lab behind the original buildings. An Informational tour and discussion were conducted with the clinical director and intake staff. Prior to arriving at the center, the staff are aware of the student's purpose for

placement at the center. During the intake process several screening instruments including the Abraxas Youth and Family Services Screening Instrument are administered by intake and program staff. The intake staff meets with the clinical director in determining the appropriate housing assignment including placing in a room close to the staff stations. The clinical director then sees the students the same day or the following day.

All students interviewed stated the staff members announce their presence prior to entering the housing unit. This practice was experienced and observed during the tour. The shower area has shower curtains for privacy. The ratio of staff is one to eight during waking hours and one to 10 for staff to students during non-waking hours.

Throughout the site review, the Auditor was able to view posted audit notices, PREA protection posters, Sexual Abuse reporting instructions, and contact information and instructions for the South Carolina Abuse hotline. Camp Aspen students are guaranteed access to the Child Abuse Hotline and may request to use the line in private without question. Staff will dial the Hotline, hand the student the telephone and leave the office. The auditor tested the line and was able to speak to a reporting staff member for the Child Abuse Hotline. There is also a hotline number available for student to speak to the Pathway to Healing victim advocate. Again, the auditor contacted the agency and was able to speak to the victim advocate and an emotional support staff at the program.

The auditor was able to have conversations with students and they provided an understanding of PREA and know to report an allegation of sexual abuse or sexual harassment. All of the students indicated they felt safe at the facility and were happy to share with the auditor their training and an explanation of the student safety plan and how it works. Staff interviewed during the tour were actively involved in supervising

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| | <p>programs and provided the auditor with their knowledge of PREA and the training they received prior to starting to work and during their tenure at the center. All staff were able to discuss the coordinated response plan and were able to articulate where the response plan check list was maintained and shared their PREA information cards with the auditor. The auditor concluded that the center has utilized PREA standards and the requirement to meet these standards as a road map to make this a center that has a culture of being a sexually safe environment for students and staff.</p> <p>Camp Aspen is Licensed by the South Carolina Department of Social Services. The drug and alcohol treatment programs are also licensed and inspected by the South Carolina Department of Health and Environmental Control (SCDHEC).</p> |
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Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

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| <p>90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
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91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).

The auditor requested random personnel background checks and reviewed 5 employee background checks including Accurate and South Carolina Background division. The employee records included staff that had been employed at the facility for more than 5 years, staff that were promoted and staff that were employed in the last 12 months check was completed and is maintained on file in the personnel's office. The auditor reviewed random training files for employees. There were 7 employee training files reviewed. The auditor reviewed the initial, yearly and prior to promotion PREA questionnaires on fifteen staff members.

Six resident files were reviewed by the auditor. The files included rescreening and formalized PREA education. The facility conducts screening during initial intake, within 30 days of intake and then monthly or quarterly depending on the student's risk level. All time requirements were met in each area. Logbooks documentation of PREA rounds were reviewed during the tour. Unannounced rounds were reviewed. The PREA unannounced round includes a questionnaire that residents and staff are asked by the staff conducted the rounds. The information is discussed with the students and staff during the unannounced rounds. There were 8 unannounced rounds reviewed. The auditor reviewed one investigative file.

The investigation was conducted by local law enforcement on a student that left the facility without permission and made an allegation during his arrest which was determined to be unfounded.

SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

| | # of sexual abuse allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|--------------------------------------|--------------------------------------|-------------------------------------|---|--|
| Inmate-on-inmate sexual abuse | 1 | 1 | 1 | 1 |
| Staff-on-inmate sexual abuse | 0 | 0 | 0 | 0 |
| Total | 1 | 1 | 1 | 0 |

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

| | # of sexual harassment allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|---|---|-------------------------------------|---|--|
| Inmate-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for "convicted.") Do not double count. Additionally, for question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|--------------------------------------|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual abuse | 0 | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual abuse | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 |

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|--------------------------------------|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual abuse | 0 | 1 | 0 | 0 |
| Staff-on-inmate sexual abuse | 0 | 0 | 0 | 0 |
| Total | 0 | 1 | 0 | 0 |

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|---|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual harassment | 0 | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual harassment | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 |

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|---|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual harassment | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 |

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:

1

| | |
|---|---|
| <p>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p> |
| <p>Inmate-on-Inmate sexual abuse investigation files</p> | |
| <p>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p> | <p>1</p> |
| <p>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p> |
| <p>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p> |
| <p>Staff-on-Inmate sexual abuse investigation files</p> | |
| <p>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p> | <p>0</p> |
| <p>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p> | <p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p> |

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| <p>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p> | <p>Yes</p> <p>No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p> |
| <p>Sexual Harassment Investigation Files Selected for Review</p> | |
| <p>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</p> | <p>0</p> |
| <p>a. Explain why you were unable to review any sexual harassment investigation files:</p> | <p>The center did not have any sexual harassment investigations in the last or present audit cycle.</p> |
| <p>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p> | <p>Yes</p> <p>No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p> |
| <p>Inmate-on-inmate sexual harassment investigation files</p> | |
| <p>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p> | <p>0</p> |
| <p>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</p> | <p>Yes</p> <p>No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p> |

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| <p>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p> | <p>Yes</p> <p>No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p> |
| <p>Staff-on-inmate sexual harassment investigation files</p> | |
| <p>111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p> | <p>0</p> |
| <p>112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?</p> | <p>Yes</p> <p>No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)</p> |
| <p>113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p> | <p>Yes</p> <p>No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)</p> |
| <p>114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.</p> | <p>The criminal investigative file review was limited to information provided to the center following a student leaving the center without permission and then making allegation of sexual abuse at his hearing. The incident was investigated by local law enforcement and was determined to be unfounded.</p> |

SUPPORT STAFF INFORMATION

DOJ-certified PREA Auditors Support Staff

115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

- Yes
 No

Non-certified Support Staff

116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

- Yes
 No

a. Enter the TOTAL NUMBER OF NON-CERTIFIED SUPPORT who provided assistance at any point during this audit:

1

AUDITING ARRANGEMENTS AND COMPENSATION

121. Who paid you to conduct this audit?

- The audited facility or its parent agency
- My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)
- A third-party auditing entity (e.g., accreditation body, consulting firm)
- Other

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| Standards |
| Auditor Overall Determination Definitions |
| <ul style="list-style-type: none"> • Exceeds Standard (Substantially exceeds requirement of standard) • Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) • Does Not Meet Standard (requires corrective actions) |
| Auditor Discussion Instructions |
| <p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p> |

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| 115.311 | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator |
| | Auditor Overall Determination: Exceeds Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Abraxas Youth and Family Services (AYFS) PREA Organizational Chart</p> <p>Camp Aspen Org chart</p> <p>AYFS Policy 5.5- Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Camp Aspen Policy - Sexually Abusive Behavior Prevention and Intervention Program</p> <p>AYFS Policy 5.6- Zero Tolerance Towards Sexual Abuse and Harassment</p> <p>115.311 (a): Abraxas Youth and Family Services is committed to a zero-tolerance standard for incidents of sexual abuse and sexual harassment. The agency has</p> |

developed and implemented policies to comply with PREA standards for Juvenile Facilities. The AYFS and other stakeholders associated with AYFS are committed to preventing, detecting, and responding to sexual abuse and sexual harassment. Everyone has the right to be free from sexual abuse, sexual harassment, neglect, and exploitation. This includes not being subjected to sexually assaultive, abusive, and/or harassing behavior from staff and other students. AYFS policies establishes that all facilities, staff, students, volunteers, contractors, or visitor are committed to a zero tolerance standard for incidents of sexual abuse and sexual harassment. Students with disabilities are afforded the same rights and will be provided with access to interpreters, presented material to effectively communicate with those students who have intellectual disabilities, limited reading skills, blind or have low vision. Students will have access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary. Interpreters will be provided through local community resources. Students with disabilities have equal opportunity to participate in and benefit from all aspects Camp Aspen efforts to prevent, detect, and respond to sexual abuse and sexual harassment.

Camp Aspen Policies and Procedures Manual- Program Planning - Sexually Abusive

Behavior Prevention and Intervention mandates zero tolerance towards all forms of

Sexual Abuse and Sexual Harassment in the facility. Sexual conduct between Employees, Volunteers, or Contractors, and Youth regardless of consensual status is prohibited and subject to administrative as well as criminal and disciplinary sanctions. This prohibition includes conversations or correspondence of a romantic or sexual nature. All Employees, Contractors, and Volunteers are expected to have a clear understanding that Abraxas I strictly prohibits any type of sexual relationship with Youth. This shall be considered a serious breach of the Standards of Employee Conduct, Volunteer agreements as well as vendor service and Contractor agreements. These inappropriate relationships with students will not be tolerated.

Engaging in a romantic and/or sexual relationship with Youth may result in employment termination and/or termination of the Contractual or Volunteer status, and/or criminal charges. Employees must take prudent measures to ensure the safety of Youth. Retaliation against Youth or Employees for filing a complaint will not be tolerated.

In accordance with this policy, all Employees, Contractors, and Volunteers have an affirmative duty to report all allegations or knowledge of Sexual Abuse, Sexual Harassment, romantic, or sexual contact that take place in Camp Aspen or while a student is off grounds. All cases of alleged sexual conduct shall be thoroughly investigated. Upon substantiation of any allegations of sexual conduct, appropriate disciplinary actions will be taken against Employees, Contractors, or Volunteers, including possible criminal prosecution.

115.311 (b): Abraxas Youth & Family Services employees a PREA Coordinator that oversees the efforts to comply with the PREA standards in all Juvenile Facilities under the umbrellas of Abraxas Youth and Family Services. Abraxas Youth & Family

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| | <p>Services appointed a PREA coordinator that reports to the agency President, who has sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities. The PREA coordinator ensures that all its facilities have a PREA Compliance Manager with sufficient time and authority to coordinate the facilities PREA efforts.</p> <p>115.311 (c): The facility has a PREA compliance manager that oversees the implementation of all PREA standards and ensures compliance with PREA standards. In the interview she indicated she had the time to conduct her duties. Further, during the on-site audit and in random discussion with the PREA Compliance Manager it was observed that she understood PREA and works closely with all stakeholders, students, staff and the PREA coordinator to foster a culture to maintain a sexually safe environment at the facility.</p> <p>Exceed compliance was determined by review of the Abraxas Youth & Family Services organizational chart and interviews</p> |
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| 115.312 | Contracting with other entities for the confinement of residents |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>The facility does not contract with other facilities to provide supervision of residents.</p> |

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| 115.313 | Supervision and monitoring |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5- Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Camp Aspen Policy -PREA - Student Supervision and Unannounced Rounds</p> <p>Staffing Plan</p> <p>Unannounced Tours</p> |

Camp Aspen Staffing Plan 2022

Camp Aspen Annual PREA Facility Assessment 2021 - 2023

Staff Roster

PREA Unannounced Round documentation

Student Daily Rosters

115.313 (a): Each year the agency reviews staffing and the need for cameras, staffing or rearranging the staffing plan to meet the required staff in order to maintain a safe and secure operation. Their staffing plan's annual reviews conducted on February 28, 2023 were found to be in compliance with this standard. The staffing plan included: (1) Generally accepted detention and correctional/secure residential practices. (2) Any judicial findings of inadequacy. (3) Any findings of inadequacy from Federal investigative agencies. (4) Any findings of inadequacy from internal or external oversight bodies. (5) All components of the facility's physical plant (including "blind spots" or areas where staff or students may be isolated). (6) The composition of the student population. (7) The number and placement of supervisory staff. (8) Institution programs occurring on a particular shift. (9) Any applicable State or local laws, regulations, or standards. (10) The prevalence of substantiated and unsubstantiated incidents of sexual abuse; and (11) Any other relevant factors. The facility did not report deviations from the staffing plan during the past 12 months.

The student population includes 30 intermediate substance use residential beds for male students referred from the Department of Juvenile Justice. The average daily census for the 2021-2022 contract year was 20.

There is one childcare worker for every six children during awake hours and one childcare worker for every ten children during sleeping hours. There is a childcare supervisor at the facility at all times. Based on conversations with the PREA coordinator and facility administrator it was obvious that the facilities review all areas of the center for additional staffing and student movement in order to meet the requirement of this standard. The direct care staff were noted to be located throughout the center during the tour or review of cameras monitoring. Random interviewed direct care confirmed that they are assigned based on activities at each unit which will impact the staffing plan. The random staff stated that the center does not count control operators toward meeting this requirement. The facility administrator provided a daily roster that indicates the staffing utilized during the prior 24 hours. All students are assigned a therapist that provides group and individual counseling programs. The present staffing plan is based on a population of 30 students while the average population during the last 12 months was 20 students.

115.313 (b): The facility administrator provided a daily roster that indicates the

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| | <p>staffing utilized during the prior 24 hours. During the pandemic the center had to reduce the student capacity in order to provide staff that ensured compliance with the staff to student ratios. The center also provided overtime pay and utilized management staff to meet the mandates of the staff to student ratios. The auditor reviewed the staffing schedules for the past 45 days and found that the facility was providing overtime pay for staff on a routing basis. However, there were no instances when the mandatory staff requirement was not met.</p> <p>115.313 (c): Students and Plans/Supervision Activities of Activities mandates that the staffing plan will be reviewed and approved by the PREA coordinator at least yearly.</p> <p>The latest Assessment was dated February 28, 2023. By contractual aggregation Camp Aspen meets or exceeds the requirements of the PREA standards.</p> <p>115.313 (e): The executive director and PREA compliance manager conduct and document unannounced rounds on all shifts and all areas of the facility to monitor and deter staff sexual abuse and harassment. During PREA rounds, students and staff are interviewed and asked to take a quiz about PREA. Each shift supervisor makes rounds several times during each shift. It is the policy of Camp Aspen that staff are not to inform other staff when the shift supervisor, facility manager are making rounds. During the tour the auditor reviewed the logbooks in all housing units and noted that the shift supervisor, and facility administrator had signed to logbook a minimum of one a day for the last three-week excluding weekends. Shift supervisor had signed the logbooks on each day including weekends.</p> <p>The facility meets compliance was determined by review of policies, documentation and interview with staff. The center's staff - student ratio exceeds the PREA standards for staffing of juvenile facilities. Staff could not meet with the auditor until they were properly relieved to ensure the facility always had the appropriate ratio of students to staff members.</p> |
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| 115.315 | Limits to cross-gender viewing and searches |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard. |
| | Training File Reviews |
| | PAQ |
| | Training Forms |
| | Camp Aspen Policy -PREA - Student Search Procedure |

Camp Aspen Policy -PREA - Student Supervision and Unannounced Rounds

115.315 (a): Student Search Procedure establishes a policy that students are searched to reduce and control the presence of contraband and unauthorized property. Students will not be searched for punishment, discipline, or harassment.

Camp Aspen will train staff members in how to conduct frisk searches, strip searches and cross-gender searches in a professional and respectful manner, and in the least intrusive manner possible. Transgender students will not be searched or physically examined for the sole purpose of determining genital status.

115.315 (b): Student Search Procedure mandates the facility always refrain from conducting any cross-gender pat down. All staff interviewed indicated they have never conducted a cross-gender search. All students interviewed stated they had never been searched for by a member of staff of the other gender. In the event of an exigent/emergency circumstance, the unit supervisor of the staff member conducting the search will ensure that the form 3128, Cross-Gender Search Documentation, is fully completed for each search and forwarded to the Facility Director within 48 business hours of the event(s).

115.315 (c): The facility did not have any transgender or intersex student at the facility. All cross-gender searches are documented. According to the PAQ there were no cross-gender searches during the last 12 months.

115.315 (d): A tour of the center found that all areas that are utilized for housing students have necessary barriers to allow student to shower without being viewed by person of the opposite gender and privacy from other students during the showering process. All students stated they are allowed to change clothes and shower in private. A review of the cameras noted there were no cameras that provided views of the shower or toilet areas in any of the units. All staff of the other gender always announce their presence when entering a housing unit. There are reminders poster placed at the entrance of each housing unit. Staff and students confirm that staff announce their presence and will knock on the door prior to looking in during counts.

115.315 (e): Agency policy prohibits searching or physically examining a transgender or intersex students for the sole purpose of determining the student' genital status. Policy mandates that if a student's genital status is unknown, the facility determine genital status during conversations with the student, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner.

115.315 (f): A review of the staff training plan includes conducting cross gender searches in a professional and respectful manner.

Compliance was determined by review of policies, and interview with students and staff.

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| | proficient |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Abraxas Zero Tolerance Poster - English</p> <p>Abraxas Zero Tolerance Poster - Spanish</p> <p>Youth Safety Guide - English</p> <p>Youth Safety Guide -- Spanish</p> <p>115.316 (a)(c): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program mandates that facilities will ensure that Students with disabilities (e.g., those who are deaf, hard of hearing, blind, have low vision, intellectual, psychiatric or speech disabilities) have an equal opportunity to participate in or benefit from the Company's efforts to prevent, detect, and respond to Sexual Abuse and Sexual Harassment. Such steps will include, when necessary to ensure effective communication with students who are deaf or hard of hearing, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary.</p> <p>AYFS will ensure that all of its facilities provide written materials to every student in formats or through methods that ensure effective communication with students with disabilities, including those who have intellectual disabilities, limited reading skills or who are blind or have low vision. Facilities will not rely on student, readers, or other types of student assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the student's safety, the performance of first-response duties, or the investigation of the student's allegations. Any use of these interpreters under these type circumstances will be justified and fully documented in the written investigative report.</p> <p>Students receive information explaining the agency's zero tolerance Policy in an age-appropriate fashion including how to report incidents or suspicions of sexual abuse or sexual harassment in the appropriate manner, taking into consideration age, disabilities, sexual orientation, and language. The youth safety guide was reviewed and is a model to provide information that is child friendly.</p> <p>The comprehensive education is accessible to all students, including those who are limited English proficient, deaf, visually impaired, or otherwise disabled as well as to the students who have limited reading skills. If the students report a deficiency or the staff are aware of a deficiency in any of these areas, they will report to the supervisor the need for an additional resource. The supervisor will notify the facility administrator who will contact the appropriate community resource services. Arrangements will be made for an interpreter who can interpret effectively,</p> |

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| | <p>accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary, when necessary. In all circumstances this center will not rely on student interpreters.</p> <p>115.316 (b): The facility has a contract for language services. The facility also has access to language sign language staff. Staff would read all information required for an orientation into the facility and the comprehensive PREA training for students that can't read.</p> <p>The center will purchase any specialized equipment required to assist the student or his family in communicating with the staff. The Special Education Instructor indicated during interview that if the student had a special need that required related services, the educational program would work with the center to provide these services. All resident interview indicated they received the training and understood PREA and did not need any assistance in the initial or comprehensive training. Staff and students indicated that the center goes over the youth safety guide and safety plan on a daily basis.</p> <p>Compliance was determined by review of policy, language line contract, and interviews with clinical supervisor director, education staff, facility executive director and PREA compliance manager.</p> |
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| 115.317 | Hiring and promotion decisions |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>8 Personnel Files</p> <p>PREA questionnaire</p> <p>115.317 (a): AYFS shall not hire or promote anyone who may have contact with students and shall not enlist the services of any contractor who may have contact with students, who.</p> <ol style="list-style-type: none"> 1. Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997). 2. Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or |

3. Has been civilly or administratively adjudicated to have engaged in or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse.

4. AYFS shall consider any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with students.

5. AYFS shall ensure that a criminal background records check has been completed, and consult applicable child abuse registries, before enlisting the services of any contractor who may have unsupervised contact with students.

During initial interviews, prospective employees, interns, and volunteers shall be informed of the background check procedure. AYFS shall require the following background checks on all staff, volunteers, interns, and contractors and any applicant that is being considered for employment or promotion with Camp Aspen:

1. Criminal background or records check.
2. Sexual offender registry check; and
3. Child abuse and neglect registry check.

115.317 (b): The Agency Policy states any incidents of sexual harassment by a staff member will be taken into consideration if the staff member is eligible for promotion. Prior to a promotion the facility will conduct a promotion board. Prior to meeting with the board, the applicant completes a questionnaire that includes all areas of the standard. The interview questions for employment also address previous misconduct. The evidence shows the facility considers any incident of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with students. Policy states any incidents of sexual harassment by a staff member will be taken into consideration if the staff member is eligible for promotion. The interview questions for employment also address previous misconduct. The evidence shows the facility considers any incident of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with students.

115.317 (c): During initial interviews, prospective employees, interns, and volunteers shall be informed of the background check procedure. AYFS shall require the following background checks on all staff, volunteers, interns, and contractors and any applicant that is being considered for employment or promotion with :

1. Criminal background or records check.
2. Sexual offender registry check; and
3. Child abuse and neglect registry check.

The human resources staff indicated in interviewed and confirmed by reviewing personnel files that she conducts a reference check on all prospective employees. This check includes any past history of sexual abuse, sexual harassment including sexual harassment toward other staff.

115.317 (d): AYFS shall ensure that a criminal background records check has been completed, and consult applicable child abuse registries, before enlisting the services of any contractor who may have unsupervised contact with students.

115.317 (e): AYFS shall conduct background checks on all staff, volunteers, interns, and contractors every five (5) years, or sooner. This was confirmed by reviewing background checks for staff with 5 years or more tenure at the facility.

115.317 (f): The agency asks applicants about previous misconduct described in paragraph (a) of this section in written applications or during interviews for hiring or promotions. The facility does a yearly staff appraisal and sexual abuse, or sexual harassment is part of that appraisal.

115.317 (g): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program mandates that employees and volunteers will report any arrest, which include any notice to appear in court for a criminal Charge, to their immediate supervisor within 24 hours of the arrest or receipt of the notice to appear. Failure to report may result in disciplinary action up to and including termination.

Material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination. FOP states material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination. Based on the review of the documentation and the interview with the Facility Administrator, the evidence shows the facility follows this provision of the standard.

115.317 (h): Unless prohibited by law, the agency shall provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work. The interview with the Facility Administrator confirmed the facility would provide this information if requested to do so. Policy and FOP states the information would be provided when requested unless it is prohibited by law to provide the information.

Camp Aspen utilizes a private vendor, Accurate to conduct background checks including work history. The center also contacts the South Carolina Law Enforcement Division for conducting NCIC and National Sex Offender and South Carolina child abuse registry reviews. During the last 12 months the facility conducted 15 employee background checks. There was no contractor background check completed.

Compliance with the standard was determined by review of policies, personnel files, and interviews with Personnel supervisor, agency head, PREA coordinator and facility administrator.

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| 115.318 | Upgrades to facilities and technologies |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Annual PREA Assessment</p> <p>There have been no upgrades or expansion of the physical plant since the last update. The facility does not have any cameras. According to interviews with the Executive Director and PREA compliance manager, students are always under the supervision of staff.</p> |

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| 115.321 | Evidence protocol and forensic medical examinations |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Evidence Protocol and Forensic Medical Examination</p> <p>Investigating PREA Allegations</p> <p>AYFS Policy 5.3 - Investigating PREA Allegations</p> <p>Investigative protocol</p> <p>PREA-Advocacy poster</p> <p>MOU agreement with Pathway to Healing</p> <p>Prisma Health/Richland Hospital</p> <p>115.321 (a): When an allegation of sexual abuse/sexual harassment is made, it will be reported immediately by the person who initially had knowledge of the suspected child abuse to the South Carolina Department of Human Services- Abuse and Neglect Unit. Should the incident involve any act that can be defined as sexual abuse, facility supervisors shall immediately notify the South Carolina Department of Juvenile Justice (SCDJJ) Inspector General's Office and the Columbia Police Department. Investigating PREA Allegations policy establishes that the evidence protocol to be utilized in sexual assault cases involving students to be</p> |

developmentally appropriate and to mirror the U.S. Department of Justice Office of Violence Against Women's "A National Protocol for Sexual Assault Medical Forensic Examinations for Adults/Adolescents." A victim of sexual abuse at Camp Aspen will be taken to Prisma Health/Richland Hospital Emergency Room to receive a SANE or SAFE medical evaluation and the Columbia Police Department shall be called to the facility for the collection of forensic evidence.

115.321 (b): and (F): To the extent the agency is responsible for investigating allegations of sexual abuse, the agency shall follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions. (b) The protocol shall be developmentally appropriate for students and as appropriate, shall be adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols. Policy provides for the uniform Protocols to be followed. The Protocol is outlined regarding appropriateness for students and adults. The agency-based investigators conduct administrative investigations, and the PA State Police investigate sexual abuse allegations that are criminal in nature. Staff interviews confirmed an understanding of the facility's protocol for obtaining usable physical evidence if a student alleges sexual abuse and knowledge of the entities responsible for conducting investigations.

115.321 (c): The agency shall offer all students who experience sexual abuse access to forensic medical examinations whether on-site or at an outside facility, without financial cost. Such examinations shall be performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible. If SAFEs or SANEs cannot be made available, the examination can be performed by other qualified medical practitioners. The agency shall document its efforts to provide SAFEs or SANEs. The facility does have a MOU with The Pathway to Healing.

The MOU with The Pathway to Healing: "The Pathway to Healing is open to receiving referrals through a 24-hour hotline to provide victim advocacy services during an investigation. The Pathway to Healing can also provide information and referrals for further services to assist a sexual assault victim and his/her family".

115.321 (d): The facility does have a MOU with The Pathway to Healing for victim advocacy services. This MOU includes advocacy services and emotional support services. The Victim's center director was contacted and provided a review of the program and services available to students at Camp Aspen at no cost to the student. She verbally provided qualifications of advocacy staff at the program and the services they provide to students. The medical center staff interviewed by telephone verified that the hospital had SANE staff on duty and had a working relationship with The Pathway to Healing. A qualified victim advocate would accompany a student for SANEs and during the law enforcement investigations. The advocate program would continue to offer emotional support, crisis intervention and referrals. The center has full time clinical therapist that would also provide crisis intervention as requested by the victim and as part of the student treatment plan.

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| | <p>115.321 (g) The facility has an MOU with a Victim Advocate Program.</p> <p>Compliance was determined through the review of MOUs with Columbia Police Department, The Pathway to Healing, and interviews with Prisma Health/Richland Hospital Emergency Room, The Pathway to Healing advocacy program and with facility investigator.</p> |
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| 115.322 | Policies to ensure referrals of allegations for investigations |
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| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Evidence Protocol and Forensic Medical Examination</p> <p>Investigating PREA Allegations</p> <p>AYFS Policy 5.3 - Investigating PREA Allegations</p> <p>PAQ</p> <p>115.322 (a & b) Policy 5.3 Investigating PREA Allegations requires allegations of sexual abuse or sexual harassment be referred to an agency with the legal authority to conduct criminal investigations unless the allegation does not involve criminal behavior. A review of AYFS website included how to report and statement that all allegations will be investigated and a PREA policy including Investigating PREA Allegations. During the last 12 months there have been 1allegation of sexual abuse or sexual harassment reported and investigated. All Allegation of sexual abuse will require that Camp Aspen will immediately notify Columbia Police Department and South Carolina Department of Human Services- Abuse and Neglect Unit of any allegations of sexual abuse/ harassment of youth in placement at the residential Center.</p> <p>These investigations will include a criminal if it is criminal in nature by Columbia Police Department and administratively by facility investigator. Non-criminal allegations will be investigated by facility investigator. The South Carolina Department of Human Services- Abuse and Neglect Unit may investigate any allegations of sexual abuse or student neglect.</p> <p>115.322 (c): Policy 5.3 Investigating PREA Allegations mandates that staff will secure the scene, not let the victim or predator change clothing, brush teeth, use the restroom or shower. The facility will assist the investigator in making available video and other material as requested. The Columbia Police Department staff will report to the facility if the allegation is criminal in nature and will be responsible for</p> |

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| | gathering forensic evidence. The facility trained investigator will conduct the investigation if the incident is not criminal in nature. |
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| 115.331 | Employee training |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS PREA Initial Training</p> <p>PREA Initial Training Quiz - Answer Key (updated 11-03-2021)</p> <p>PREA Initial Training Quiz (updated 11-03-2021)</p> <p>PREA Staff Training Sign in Sheets</p> <p>Staff PREA reference cards</p> <p>115.331 (a): The agency policy addresses PREA-related training for staff. All interviewed staff members were familiar with the PREA information regarding primary components of preventing, detecting and responding to sexual abuse or sexual harassment. PREA training is provided to staff, as indicated by a review of policy and training documents. The documents and staff interviews supporting refresher training are also conducted and are documented. The direct care staff interviewed and the PREA Compliance Manager reported the training is provided as required. All direct care staff members interviewed, and document review verified the general topics below were included in the training:</p> <ol style="list-style-type: none"> 1. The center Zero Tolerance Policy for sexual abuse and sexual harassment. 2. How to fulfill their responsibilities under the center sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures. 3. Students' right to be free from sexual abuse and sexual harassment. 4. The right of students and employees to be free from retaliation for reporting sexual abuse and sexual harassment. 5. The dynamics of sexual abuse and sexual harassment juvenile facilities. 6. The common reactions of juvenile victims of sexual abuse and sexual harassment. |

7. How to detect and respond to signs of threatened and actual sexual abuse and how to distinguish between consensual sexual contact and sexual abuse between students.

8. How to avoid inappropriate relationships with students.

9. How to communicate effectively and professionally with students, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming students.

10. How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities; and

11. Relevant laws regarding the applicable age of consent.

12. How to conduct cross gender pat (frisk) searches

115.331 (b): Such training shall be tailored to the unique needs and attributes of students of juvenile facilities and to the gender of the students at the employee's facility. The employee shall receive additional training if the employee is reassigned from a facility that houses female and male students to a facility that houses only female students, or vice versa. The facility houses males and the training consider the needs of the population as determined by a review of training curricula and interviews with random staff. The Policy states the training shall be tailored to the needs and attributes of the population served. Part of the staff training is a quiz that includes questions about male, and targeted populations. The facility also houses mental health, substance abuse, and sex offenders in separate programs. Staff assigned to these programs receive additional training including how to communicate professionally with students assigned to these programs.

115.331 (c): The agency provides each employee with refresher each year to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures. In recent years the yearly in services added a video on conducting cross gender or persons frisk searches (pat down). All staff are required to complete this training. Training roster and training records were reviewed and documented this training. The agency Policy addresses PREA-related training for staff. All interviewed staff members were familiar with the PREA information regarding primary components of preventing, detecting and responding to sexual abuse or sexual harassment. Interviews with non-direct care staff and files of no direct care staff confirmed that all staff working at Camp Aspen receive the same training. All staff received initial training and then yearly refresher training. All staff indicated they had to enter preservice prior to working with any students. Several stated they had to shadow staff for at least two weeks after receiving their preservice training.

115.331(d): The agency document training, through employee signature or electronic verification that employees understand the training they have received. The policy provides all training be documented. Staff members sign training rosters and training acknowledgement statements. A checklist is utilized for orientation training for all new employees and contains the elements of PREA training. The

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| | <p>harassment and informed how to report such incidents.</p> <p>115.332 (c): The agency shall maintain documentation confirming that volunteers and contractors understand the training they have received. The PREA Notification document contains the information reviewed with the contractor and volunteer. The document also serves as the training acknowledgement statement containing the signature of the participant and the date, confirming their understanding of the PREA information. Education staff receive the training at the beginning of the school year. Medical receive the training prior to working with student. Medical and mental health staff also receive specialized training as required by Standard 115.335.</p> <p>During the last year, 1 contractors or volunteers has received volunteer or contractor training. A review of the training curriculum and interviews with personnel staff and PREA compliance manager confirm that the facility has all of the required policies and procedures, training and staff to implement a volunteer and contracting program.</p> |
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| 115.333 Resident education | |
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| | <p>Auditor Overall Determination: Exceeds Standard</p> <p>Auditor Discussion</p> <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Camp Aspen Policy and Procedure - Student Education and Assessment</p> <p>Youth Safety Guide</p> <p>Students Handbook</p> <p>Acknowledgement Statements with signature</p> <p>Student File Reviews</p> <p>PAQ</p> <p>115.333 (a): Camp Aspen Policy and Procedure - Student Education and Assessment mandates that 1. within 24 hours of intake, a trained staff member will provide the student with comprehensive age-appropriate information regarding the program's zero tolerance policy regarding sexual abuse and sexual harassment by reviewing the Abraxas Youth Safety Guide. The information includes the student's rights to be free from sexual abuse and sexual harassment, to be free from retaliation for reporting such incidents, and how to report sexual abuse or sexual</p> |

harassment. The staff member will review the information with the student in person, verify his understanding, and obtain his signature on the acknowledgment form.

115.333 (b): Also during the intake, staff go over the Students Guide, discuss the purpose of the guide provides question and answers to the comprehensive education about PREA and have student sign and acknowledge form stated they have revied the training and understand how to report allegation of sexual abuse or sexual harassment, their rights to be free from sexual abuse or sexual harassment and that the center has a zero tolerance for sexual abuse or sexual harassment. Also, during the comprehensive education program, students receive a student handbook specific to the program they are being assigned which includes additional PREA information. Within three weeks of arriving at the center the center clinical staff will meet with the student and discuss their safety plan and go over the student's guide again with the students. In reviewing the training and the follow up training and interviewing staff and student, the auditor began to understand that PREA is not a mandate but has been incorporated into the center's therapeutic community as a culture of expected norms for all staff and students.

115.333 (c): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program requires that students receive such education upon arrival or transfer at the facility and shall receive education upon transfer to a different AYFS center to the extent that the policies and procedures of the student's new facility differ from those of the previous center.

115.333 (d): Camp Aspen Policy and Procedure - Student Education and Assessment mandates that the center shall provide student education in formats accessible to all students, including those who are limited English proficient, deaf, visually impaired, or otherwise disabled, as well as to students who have limited reading skills. The facility has the capability to provide the PREA education in formats accessible to all students including those who may be hearing impaired; deaf; have intellectual, psychiatric and speech disabilities; low vision; blind; limited reading, limited English proficient, and based on the individual need of the student.

Documentation was reviewed of a contracting service for language lines. Posted PREA information is in English and Spanish accessible to students, staff, contractors, volunteers, and visitors. Staff interviews confirmed students are not used as translators or readers for other students. The facility staff indicated that the clinical supervisor, medical staff, education lead teacher and operations manager would work with the community resources to provide education to students regardless of his limitations or disabilities.

115.333 (e): The agency shall maintain documentation of student participation in these education sessions. A sample of signed acknowledgement statements were reviewed which supported the students' involvement in PREA education sessions. The students were aware of PREA information, including their rights regarding PREA, how to report allegations and that they would not be punished for reporting allegations of sexual abuse or sexual harassment. The Intake staff were interviewed

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| | <p>regarding PREA education for students. Staff ensure students' receipt of the information, including the student signing the acknowledgement form. A review of 10 student files confirmed they acknowledged they received a PREA orientation during intake and comprehensive training within 10 days of arrival at the facility. In the last 12 months 160 students received comprehensive training as indicated in the PAQ.</p> <p>115.333 (f): In addition to providing such education, the agency shall ensure that key information is continuously and readily available or visible to students through posters, student handbooks, safety guide or other written formats. The PREA education materials provide students information on how to report allegations of sexual harassment and sexual abuse. A safety guide is provided to each student to eliminate incidents of sexual abuse and sexual harassment. The guide provides educational information regarding sexual abuse and victims. The students revealed they can report allegations of sexual abuse or sexual harassment by telling a staff member or telling a family. There was PREA educational documentation noted throughout the facility including the lobby, visitation and living units. When asked, each student was able to tell me where their guide and handbook was and offered to bring it to me to see. Several stated they have notes from staff interviews in their guides. Students interviewed indicated that the facility goes over PREA daily and always ask if they are safe.</p> <p>Compliance was determined by review of the agency policies, training curriculum, poster, and student files and by interviews with staff and student.</p> |
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| 115.334 | Specialized training: Investigations |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Specialized Investigator Certificate</p> <p>Training Curriculum</p> <p>PREA Annual Training</p> <p>115.334 (a)(b): The center has 2 trained investigators. In addition to the general training provided to all employees pursuant to §115.331, the agency shall ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators have received training in conducting such investigations in confinement settings. Provision (b): Specialized training shall include techniques for interviewing juvenile sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings, and the criteria</p> |

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| | <p>and evidence required to substantiate a case for administrative action or prosecution referral. The staff received training while the center was operated by GEO and the training was provided by the GEO Investigation Training division. Newly trained staff attended the PREA resource center PREA investigator training. A copy of the training curriculum was accessible to the auditor and was reviewed for compliance with this standard.</p> <p>115.334 (c): The center provided certifications from staff that have received the specialized training. The auditor requested and received the acknowledgement that the facility investigator received annual PREA refresher training.</p> <p>Compliance was determined by review of the training curriculum, interviews with two investigators, PREA coordinator and the facility executive director.</p> |
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| 115.335 | Specialized training: Medical and mental health care |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Abraxas Students and Family Services Specialized Medical and Mental Health Training Sign In Roster</p> <p>115.335 (a): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program provide medical and mental health staff members receive regular PREA training, and the specialized training available developed by Abraxas Students & Family Services. In addition to the Zero Tolerance policy, all full- and part-time medical and mental health care practitioners will be trained in the following: 1. How to detect and assess signs of sexual abuse and sexual harassment. 2. How to preserve physical evidence of sexual abuse. 3. How to respond effectively and professionally to juvenile victims of sexual abuse and sexual harassment. 4. How and to whom to report allegations or suspicions of sexual abuse and sexual harassment. 5. Medical and mental health practitioners are required by mandatory reporting laws to report sexual abuse. 6. Medical and mental health practitioners shall inform students at the initiation of services of their duty to report and the limitations of confidentiality regarding sexual abuse. There were 4 medical or mental health staff that received this specialized training in the last 12 months.</p> <p>115.335 (b): Forensic medical examinations are not conducted by the facility medical staff or mental health staff.</p> <p>115.335 (c):. The agency utilizes a training program titled Abraxas Students &</p> |

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| | <p>Family Services Specialized Medical and Mental Health Training. Participants sign in to acknowledge they have received this training.</p> <p>115.335 (d): The mental health and medical staff completed the general and refresher training provided for all staff members.</p> <p>A review of the training curriculum, sign in rosters and interview with medical and mental health staff confirmed that the staff have received specialized training and generalized training as required by standards and meets the expectations of this standard.</p> |
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| 115.341 | Obtaining information from residents |
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| | <p>Auditor Overall Determination: Exceeds Standard</p> <p>Auditor Discussion</p> <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Camp Aspen Policy and Procedure - Student Education and Assessment</p> <p>Screening for the Risk of Victimization and SAB - Assessment Tool</p> <p>Initial Assessments</p> <p>Intake PREA Assessments</p> <p>115.341 (a) and (b): Student Education and Assessment requires each student to be provided with a complete and thorough assessment of his treatment needs. Information is gathered and evaluated during the assessment phase, culminating in the development of an Integrated Summary and Comprehensive Treatment Plan. Upon admission, and periodically throughout the student's stay in the program, the program will conduct screenings and use the information collected about his personal history and behavior to reduce the risk of sexual abuse by or upon the student.</p> <p>A trained employee will complete an objective screening instrument with the student. The purpose of the screening instrument will be to obtain and use information about the student's personal history and behavior to reduce the risk of sexual abuse by or upon the student.</p> <p>If a student has experienced prior sexual victimization or has previously perpetrated sexual abuse, he will be offered a follow-up meeting with the contracted psychologist within 14 days. There was one student that had history of victimization</p> |

that was seen by the clinical director when he arrived at the facility.

The program will use the information gathered in this screening to make room and programming assignments for the student with the goal of keeping him/her safe and free from sexual abuse. The program will not use isolated housing to protect a student who is alleged to have suffered sexual abuse.

Placement and programming assignments for each transgender or intersex student shall be reassessed at least twice a year to review any threats to safety experienced by the student.

A re-assessment will be completed within 90 days from the date of admission with each student. All students will be reassessed quarterly using the Vulnerable to Victimization Reassessment Questionnaire.

115.341 (c): Risk of Victimization and Sexually Aggressive Behavior screening include mental, physical, or developmental disabilities, age, physical build, prior incarcerations, criminal history (violent and non-violent), prior convictions for sex offenses against an adult or child, prior acts of sexual abuse, prior convictions for violent offenses, history of prior institutional violence or sexual abuse, prior sexual victimization, perception of vulnerability, and if the student is or is perceived to be (LGBTQI) or gender nonconforming.

115.341 (d): Camp Aspen Policy and Procedure - Student Education and Assessments mandates that all students will be interviewed using an objective screening instrument for risk of victimization potential vulnerabilities or sexual abusiveness tendencies to act out with sexually aggressive predatory behavior within seventy-two (72) hours. Screening staff interviewed indicated staff review the students court records, suicide screening reports, family information and any other documents that are provided to them at the time of intake. The screening staff utilizes the screening instrument during the initial intake process that includes conversation with the student in a private setting. The screening staff indicated they introduce the screening instrument to the student by explaining the purpose of the questions and it is important in order to make sure they are safe and get the most out of the stay at the center.

115.341 (e): Sensitive information obtained will not be exploited to the student's detriment by staff or other students. All staff will follow appropriate confidentiality when dealing with sensitive information. Information obtained will only be used to make housing, bed, program, and education assignments with the goal of keeping all students safe and free from sexual abuse and to reduce the risk of victimization.

Based on the review of the agency policy and procedures, observations and information obtained through staff and student interviews, and review of 12 student files the center exceeds compliance with this standard.

Auditor Overall Determination: Meets Standard

Auditor Discussion

The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.

AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)

Camp Aspen Policy and Procedure - Student Education and Assessment

115.342 (a): All information obtained upon intake and periodically throughout the students' confinement will be used to make housing, bed, program, and education assignments with the goal of keeping all students safe and free from sexual abuse. The facility director, assistant facility director and clinical director review all documentation furnished prior to the arrival of the students. The same staff review the mental health evaluation, victim screening instrument, reasons for placement and make a final decision on housing and program assignments. The clinical director and other treatment staff review the placement within 30 days after the student arrives and make adjustments in housing and programming based on the 30-day reassessment and student's adjustment to the facility. The clinical director and administrative staff review the rescreening instrument and use this tool along with case manager notes to determine any needs for modification in housing and programming.

115.342 (b): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program states students may be isolated from others only as a last resort when less restrictive measures are inadequate to keep them and other students safe, and then only until an alternative means of keeping all students safe can be arranged. During any period of isolation, Facilities will not restrict Student's daily large muscle exercise and any legally required educational programming or special education services. Students in isolation will receive daily visits from a medical or mental health care clinician. Students will also have access to other programs and work opportunities to the extent possible. If a Student is isolated pursuant to paragraph (b) of this section, the facility will clearly document the following:

- The basis for the Facility's concern for the student's safety; and
- The reason why no alternative means of separation can be arranged.

Every 30 days, the status of the student will be reviewed to determine whether there is a continuing need for separation from the general population.

In interviews with the PREA coordinator, clinical director, and facility executive director, while policy would allow the placement in an isolated area, the facility would utilize one on one or two on one supervision until arrangements can be made to move the student to a safe environment. If the student needed protection from staff, the staff would be placed on administrative leave until arrangements can be

made to move the student to a safe environment. During the tour of the center, the auditor did not note any room or enclosure that could be utilized for isolation and all staff interviewed always stated they are not allowed to use isolation.

115.342 (c): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program mandates placement of Students precludes lesbian, gay, bi-sexual, transgender, and intersex students from being placed in a particular housing unit and states LGBTI identification or status is not an indicator of likelihood of being sexually abusive. Transgender or intersex student's own view with respect to his/her safety will be given serious consideration. The PREA Compliance Manager's interview also verified compliance with this standard. During the site tour, there were no rooms observed to be reserved for transgender or intersex students. Staff interviews and observations revealed there is no special housing based on how a student identifies. AYFS Policy 5.5 mandates that LGBTI Students will not be placed in housing or bed assignments solely based on their identification as LGBTI, nor will Facilities consider LGBTI identification or status as an indicator or likelihood of being sexually abusive.

115.342 (d): In deciding whether to assign a transgender or intersex student to a facility for male or female students, and in making other housing and programming assignments, the agency shall consider on a case-by-case basis whether a placement would ensure the student's health and safety, and whether the placement would present management or security problems. The Policy also provides that housing and program assignments for transgender or intersex students would be made on a case-by-case basis and these students would not be placed a special housing which was evident from staff interviews. There were no transgender or intersex students in the facility during the onsite visit. The clinical director confirmed the facility would consider on a case-by-case basis whether a placement would ensure the student's health and safety, and whether the placement would present management or security problems. In interviews with the administrative team, the staff indicated that a student's sexual status was one of several considerations for housing and programming students. According to interviews with the PREA compliance manager, center stratifies the groups based on size, maturity, age and would also utilize the student preference, sexual orientation, and perception in placing students.

115.342 (e): Placement and programming assignments for each transgender or intersex student shall be reassessed at least twice each year to review any threats to safety experienced by the student. The Policy states placement and programming assignments for each transgender or intersex student shall be reassessed at least twice each year. The Clinical Director confirmed each transgender or intersex student would be reassessed at least twice each year to review any threats to safety experienced by the student by policy, however in reality, students are reassessed on an ongoing basis. Based on the review of the Pre-audit Questionnaire and interview with the Intake staff, the evidence shows the facility follows this provision of the standard.

115.342 (f): A transgender or intersex student's own views with respect to his or her

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| | <p>own safety shall be given serious consideration. The student's concern for his own safety is taken into account through the administration of the Vulnerability Assessment and this applies to every student. The students confirmed in the interviews, they are asked about their safety concerns. A review of the PREA Education & Screening Log demonstrated the additional documentation of the screening assessments and re-assessments completed for each student. The staff interviews revealed staff members are aware of the Policy which requires the provision of the standard to be followed.</p> <p>115.342 (g): Policy mandates that transgender and intersex students shall be given the opportunity to shower separately from other students. All staff interviewed were aware of that requirement, however most staff indicated that all students are required to shower by themselves.</p> <p>115.342 (h): If a student is isolated pursuant to paragraph (b) of this section, the facility shall clearly document: (1) The basis for the facility's concern for the student's safety; and (2) The reason why no alternative means of separation can be arranged. The policy states if a student is isolated pursuant to part (B.2.) of this section, the facility shall document a. The basis for the facility's concern for the student's safety; and b. The reason why is no alternative means of separation can be arranged. No students at risk of sexual victimization were placed in isolation in the 12 months preceding the audit. Interviews with the Facility Administrator and PREA Compliance Manager confirmed the facility has not used isolation for this purpose. The Isolation/ separation would be documented according to the provisions of the Policy and standard.</p> <p>115.342 (i): Policy mandate that every 30 days, the facility shall afford each student described in paragraph (h) of this section a review to determine whether there is a continuing need for separation from the general population. The policy states every thirty (30) days, staff shall afford each student described in provision (b) of this section a review to determine whether there is a continuing need for separation from the general population. No students at risk of sexual victimization were placed in isolation in the 12 months preceding the audit. Interviews with the facility executive director, PREA compliance manager, PREA coordinator confirmed the facility has not used isolation for this purpose.</p> <p>Based on review of the agency policy and interviews with agency director, PREA coordinator, PREA compliance manager, facility executive director, clinical director, and random staff the facility exceeds compliance with this standard.</p> |
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| 115.351 | Resident reporting |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | The following policies, directives, memorandum and agency or facility supplements |

were reviewed for compliance with this standard.

AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)

Youth Safety Guide - English

Youth Safety Guide - Spanish

Abraxas Zero Tolerance Poster - English

Abraxas Zero Tolerance Poster - Spanish

AYFS Website

Reporting Posters

115.351 (a) The above policies, MOUs and External reporting mechanism identifies the multiple internal ways for residents to report sexual abuse and harassment incidents, retaliation, staff neglect or violation of responsibilities that may have contributed to such incidents. The department has provided a method for staff to privately report sexual abuse and sexual harassment of residents.

The client Safety guide advises clients of the following ways to make a report of sexual abuse or sexual harassment.

Talk to or send a letter or note to any of the following:

- A staff member you trust
- Program Manager
- Treatment Supervisor
- Therapist
- Your probation or Parole Officer
- Your attorney or advocate
- Your parents or guardians
- Chaplains or ministers

You can also file a grievance.

The facility has Posters located throughout the facility on reporting: The posters indicate that students can:

Tell any staff member.

Write a grievance.

Tell the PREA Compliance Manager If you do not feel comfortable making a report to staff, you may privately report to your Caseworker, Probation Officer or a family member.

You can call 1-803-896-9100.

The above number was called by the auditor and was able to speak to the South Carolina Department of Juvenile Justice dispatch office. The staff indicated they would forward the call to the office of the inspector general, PREA coordinator and local law enforcement.

115.351 (b): The agency shall also provide at least one way for students to report abuse or harassment to a public or private entity or office that is not part of the agency and that is able to receive and immediately forward student reports of sexual abuse and sexual harassment to agency officials, allowing the student to remain anonymous upon request. Camp Aspen students may report abuse privately by

utilizing the South Carolina Child Abuse Hotline number posted on the wall. The Auditor confirmed with

both agencies that the caller may remain anonymous. Camp Aspen does not detain students solely for

immigration purposes. Students may request to use a telephone with some degree of privacy to call the hotline without having to obtain staff permission and that mandates staff not to question students about the reason for the call. A student can request writing materials to write and send a letter to one of these sources. Random students interviewed were aware of the abuse hotline and were able to articulate how they could gain access. Signs are posted explaining how to report any allegation of suspicion of sexual abuse or sexual harassment and the ways to make these reports.

The facility does not detain students solely for civil immigration purposes.

115.351 (c): Staff shall accept reports made verbally, in writing, anonymously, and from third parties and shall promptly document any verbal reports. The staff interviews confirmed the methods available to students for reporting allegations of sexual abuse and sexual harassment. Staff members are required to accept third-party reports and to document verbal reports. All students interviewed revealed they are familiar with the provisions of the standard. The student interviews demonstrated their familiarity with the various ways they may report either in person, in writing, by phone, completing a PREA/grievance or Medical Request Form, or through a third-party. The students were aware third-party reports could be made and that reports can be made anonymously. Staff members interviewed were aware of their duty to receive and document third-party reports.

115.351(d): The facility provides students with access to tools necessary to make a

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| | <p>written report. Writing materials are readily available for students to complete the accessible forms. Prior to the site visit pictures were sent to the Auditor showing the reporting forms such as PREA/Grievance forms and Medical Request Forms and the accessibility of writing utensils. During the site visit and while on the site review, the Auditor observed the accessibility of writing utensils to the students. Staff may privately report sexual abuse and sexual harassment of students to their local law enforcement, state reporting agency, facility administrator or the PREA compliance manager. Staff must report sexual abuse and sexual harassment immediately to the Facility Administrator Facility Administrator confirmed that staff may report directly to the facility administrator, and he will coordinate with the staff to call the Columbia Police Department and South Carolina Department of Human Services- Abuse and Neglect Unit of any allegations of sexual abuse/ harassment of youth in placement at the residential Center.</p> <p>Compliance was determined by review of posters, policy, and interview with staff, and students.</p> |
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| 115.352 | Exhaustion of administrative remedies |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Camp Aspen Policy and Procedures - Grievances</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Grievance Posters</p> <p>Posters</p> <p>Youth Safety Guide</p> <p>Student and Family Handbook</p> <p>PAQ</p> <p>115.252 (a): 115.252 (a): Camp Aspen Policy and Procedures Grievances provides a procedure for students to submit grievances regarding sexual abuse and the agency has procedures for dealing with these grievances. Instructions on how to file grievances are provided to students on PREA Education Manual for Students and PREA posters. The Program Director will review and evaluate the Student Allegation/ Grievance form and will forward the form to the Office of Student and Family Relations (OJFR).</p> |

The student may also mail the Student Allegation Grievance Form directly to the Office of Student and Family Relations (OJFR). Envelopes for the purpose of forwarding the form to Student and Family Relations (OJFR) will be provided upon request.

The Student Allegation/Grievance forms filed by students will be collected by the Program Director daily. The Program Director will review and evaluate the Student Allegation/Grievance form and will forward the form to the Office of Student and Family Relations (OJFR).

The Office of Student and Family Relations (OJFR) will review and evaluate the Student Allegation/Grievance form to determine whether the matter is appropriate for handling through the Student Grievance Process.

The OJFR will respond to the complaint by contacting the student who filed the grievance and any other persons who may be involved in the grievance or incident. They will conduct an investigation of the grievance and will record the decision of the OJFR on the original Allegation/Grievance form, sign and date the form.

115.252 (b): There is no time limit when a student can submit a grievance regarding sexual abuse. Camp Aspen does not impose a time limit on any portion of a grievance that does not allege an incident of sexual abuse. Students are not required to use any informal grievance process or attempt to resolve with staff an alleged incident of sexual abuse. Agency policy does not restrict Camp Aspen the ability to defend against a lawsuit filed by an inmate on the ground that the applicable statute of limitations has expired. The PREA Compliance Manager receives all copies of grievances related to sexual abuse or sexual harassment for monitoring purposes. In interview with the PREA Compliance Manager and information provided on the Preaudit Questionnaire, in the past 12 months there were no grievances filed alleging sexual abuse.

115.252 (c): Based on facility policies, students have a right to submit grievances alleging sexual abuse to someone other than the staff member who is the subject of the complaint and the grievance will not be referred to the subject of the complaint. Students may submit grievances to the Facility Director or PREA Coordinator. If a third-party file a grievance on a student's behalf, the alleged victim must agree to have the grievance filed on his behalf.

115.252 (d): A final decision shall be issued on the merits of any portion of the grievance alleging sexual abuse within 90 days of the initial filing of the grievance. Computation of the 90-day time period shall not include time consumed by individuals in the facility in preparing any administrative appeal. Facilities may claim an extension of time to respond (for good cause), of up to 70 days and shall notify

the individual of the extension and date when the response should be resolved in writing. At any level of the administrative process, including the final level, if the individual does not receive a response within the time allotted for reply, including any properly noticed extension, the individual may consider the absence of the response to be a denial at the first level.

115.252 (e): Third parties such as fellow students, family members, attorneys or outside advocates may assist students in filing requests for administrative remedies relating to allegations of sexual abuse and may file on behalf of students. The alleged victim must agree to have the request filed on his or her behalf; however, he/she is not required to personally pursue any subsequent steps in the administrative remedy process. If the student declines to have the request processed on his or her behalf, the agency shall document the student's decision. In an interview with the PREA Compliance Manager and on information provided in the Pre-Audit Questionnaire, in the past 12 months, there were no grievances filed by a third party.

115.252 (f): Students may file an emergency grievance if he/she is subject to substantial risk of imminent sexual abuse. After receiving an emergency grievance of this nature, the Facility Director designee will ensure that immediate corrective action is taken to protect the alleged victim. An initial response to the emergency grievance to the individual is required within 48 hours and a final decision will be provided within five calendar days. In an interview with the PREA Compliance Manager and on information provided on the Pre-Audit Questionnaire, in the past 12 months, there were no emergency grievances alleging sexual abuse filed.

115.252 (g): A student can be disciplined for filing a grievance related to alleged sexual abuse if it is determined that the inmate filed the grievance in bad faith. There has been no disciplinary action due to filing a grievance in bad faith.

Staff and students interviewed were aware of the grievance system and how to access forms, pencils and were aware of the location of the grievance box.

Compliance was determined by review of the policies, interview with the grievance staff, PREA compliance managers, students, and presence of grievance provides a procedure for students to submit grievances regarding sexual abuse and the agency has procedures for dealing with these grievances. Instructions on how to file grievances are provided to students in the Students Safety Guide and student's handbook and PREA posters.

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| 115.353 | Resident access to outside confidential support services and legal representation |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |

The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.

AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)

Youth Safety Guide - English

Youth Safety Guide - Spanish

Camp Aspen Zero Tolerance Poster - English

Camp Aspen Zero Tolerance Poster - Spanish

The Pathway to Healing MOU

115.353 (a): AYFS Policy 5.5 mandates the facility shall provide students with access to outside victim advocates for emotional support services related to sexual abuse, by providing, posting, or otherwise making accessible mailing addresses and telephone numbers, including toll free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations, and, for persons detained solely for civil immigration purposes, immigrant services agencies. The facility shall enable reasonable communication between students and these organizations and agencies, in as confidential a manner as possible. Camp Aspen ensures students are provided access to outside confidential support services. The facility has established an MOU with The Pathway to Healing which provides emotional support, counselling, follow-up support, and referral for treatment after release or transfer to another facility. The staff indicated that besides providing victim advocacy, they provide a telephone number that will allow the student to talk to a trained counselor for emotional support. They would also make arrangements to visit with the victim or have the victim brought to them or another location if allowed by the facility.

The auditor called the hotline number 803-771-7273 and spoke with staff that indicated that any juvenile could call this number and will be able to speak to a trained staff member to provide emotional support.

115.353 (b): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program provide provisions that the facility shall inform students, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws. The policy addresses confidentiality of the advocacy support services. The student receives information regarding the limitations of confidentiality during the intake process. An acknowledgement statement specific to the review of the reporting and advocacy services contains information regarding the advocacy services to be provided by The Pathway to Healing. When contacted by phone the center's staff explained they always tell the caller that the telephone calls or confidential however, they have a responsibility to report allegations of child abuse or child neglect.

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| | <p>115.353 (c): The agency shall maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide students with confidential emotional support services related to sexual abuse. The agency shall maintain copies of agreements or documentation showing attempts to enter into such agreements. Students may use the phone, located in a private office and push the appropriate number to gain access and speak with a victim advocate. The agency is identified on the signage along with directions for reporting allegations or requesting advocacy services. The Facility Administrator confirmed the availability and accessibility of outside confidential support services to students.</p> <p>115.353 (d): The facility shall also provide students with reasonable and confidential access to their attorneys or other legal representation and reasonable access to parents or legal guardians. The interview's confirmed students have access to attorneys and court workers and reasonable access to their parents/legal guardians. The site tour revealed areas where students could meet privately with a legal representative and the visitation area for visits with family members. All students interviewed stated family could visit and they provided the days and times of visitation and for phone calls. Students interviewed confirmed the facility would allow them to see or talk with their lawyer, another lawyer or a court representative privately. Students interviewed confirmed the facility would allow them to see and talk with their parents or someone else, such as a legal guardian. Visitors to the facility are informed of PREA. The Facility Administrator confirmed the facility provides students with reasonable and confidential access to their attorneys or court representatives and reasonable access to parents or legal.</p> <p>The students interviewed were aware of the Pathway to Healing and posters that are located throughout the center. The majority of the students indicated in an interview they would report allegations of sexual abuse or harassment to their therapist and if they needed emotional support they would talk to their therapist or the clinical director.</p> <p>Compliance was determined by review of center visitation rules, policies, and memorandums, poster located throughout the facility and interview with Staff of The Pathway to Healing, facility executive director, PREA compliance manager, and students.</p> |
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| 115.354 | Third-party reporting |
| | Auditor Overall Determination: Meets Standard |
| | <p>Auditor Discussion</p> <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Third Party Poster - English</p> |

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| | <p>Third Party Poster - Spanish</p> <p>AYFS Website</p> <p>115.354 (a): PREA Reporting Posters and Abraxas website meet the requirements of this standard. PREA Reporting Posters are visible in the visitation room and lobby and are found in the student family handbook. AYFS provides information on ways for third party reporting including anonymous reporting. Poster include anyone needed to report abuse/ sexual harassment or to report an allegation of Sexual Abuse/ Sexual Harassment on behalf of an individual who is or was housed in any AYFS facility or program, may contact the Facility Administrator's Office in the facility where the alleged incident occurred or where the individual is housed. Reports can be made over the phone, in person, in writing or anonymously if desired. People can also contact the Corporate PREA Office. This information is located on the Abraxas Website https://abraxasyfs.org/PREA.html. A review of the website advises provides the following reporting. "If you were previously housed in a AYFS facility or program and need to report an allegation of sexual abuse/sexual harassment or to report an allegation of Sexual Abuse/Sexual Harassment on behalf of an individual who is or was housed in any AYFS facility or program, you may contact the Facility Administrator's Office in the facility where the alleged incident occurred or where the individual is housed. Please see our locations page for each facility's contact information. Reports can be made over the phone, in person, in writing or anonymously if desired. You can also contact our Corporate Office directly (412) 201-4118." The auditor called the number and reached the office of the cooperate vice president who is the PREA coordinator. All clients interviewed were aware of the third-party reporting posters and that anyone can make a report on their behalf.</p> <p>Compliance was confirmed by reviewing policies, posters and AYFS Website and by interviews agency head, Corporate PREA coordinator and with students and PCM.</p> |
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| 115.361 | Staff and agency reporting duties |
| | Auditor Overall Determination: Meets Standard |
| | <p>Auditor Discussion</p> <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>115.361 (a) (b): During the intake process, students are informed of the facility's duty to report and the limitations of confidentiality.</p> <p>Staff, contractors, and volunteers receive training and sign an acknowledgement that they received this training on reporting duties and ways to make a report.</p> |

Employees, Contractors, and Volunteers are required to immediately report any of the following:

a. Knowledge, suspicion, or information regarding an incident of sexual abuse, sexual harassment, or exploitation that occurred in the facility.

b. Retaliation against students or employees who reported such an incident.

c. Any employee, contractor, or volunteer neglect or violation of responsibilities that may have contributed to an incident or retaliation.

d. Any employee, contractor, or volunteer suspecting abuse, neglect, or exploitation must immediately report the incident to South Carolina ChildLine

e. Following a report, the employee, volunteer, or contractor suspecting sexual abuse or sexual harassment will immediately notify the PREA Compliance Manager or Administrator On-Duty.

f. If an alleged crime occurs against a child or adolescent, the PREA Compliance Manager, Administrator On-Duty, or designee shall immediately notify law enforcement authorities.

g. The alleged victim's parents/legal guardians will also be notified, unless the facility has official documentation showing the parents or legal guardians should not be notified.

h. If a juvenile court has jurisdiction over the alleged victim, and contact information is able to be obtained, the Administrator On-Duty or designee will also report the allegation to the alleged victim's attorney, juvenile probation officer, or other legal representative of record within 14 days of receiving the allegation. All attempts to contact the child's attorney or receive contact information for the child's attorney will be documented.

115.361 (c): Only designated employees specified by policy should be informed of the incident in order to respect the victim's privacy, security, and identity. All allegations of sexual abuse shall be handled in a confidential manner throughout the investigation. All conversations and contact with the alleged victim should be sensitive, supportive and non-judgmental.

115.361 (d): Medical and Mental Health staff interviewed confirmed their responsibility to inform students under 18 years old of their duty to report and limitations of confidentiality during the intake process.

115.361 (e): PREA Compliance Manager, Administrator On-Duty, or designee shall immediately notify law enforcement authorities. The alleged victim's parents/ legal guardians will also be notified, unless the facility has official documentation showing the parents or legal guardians should not be notified. If a juvenile court has jurisdiction over the alleged victim, and contact information is able to be obtained, the Administrator On-Duty or designee will also report the allegation to the alleged victim's attorney, juvenile probation officer, or other legal representative of record

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| | <p>within 14 days of receiving the allegation. All attempts to contact the child's attorney or receive contact information for the child's attorney will be documented.</p> <p>115.361 (f) Staff training and policy mandates that all employees, volunteers, interns, and contractors shall immediately report any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in the facility, retaliation against students or staff who reported such an incident, and any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation to the PA. Child Line. The administrator will immediately notify the investigator with authority to investigate criminal unless it clearly not a criminal action. In these cases, the administrator will immediately notify the facility investigator. Policy and training also require reporting any third-party reports of sexual abuse, sexual harassment, staff neglect and retaliation.</p> <p>Compliance was determined by review of policies, training, and interviews with direct care staff and first responders that are not direct care staff, the facility administrator, and the agency head designee and the PREA coordinator.</p> |
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| 115.362 | Agency protection duties |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Vulnerability Assessments</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Camp Aspen Policy and Procedures - PREA Response Plan Following Resident Report</p> <p>115.361 (a): Facility Policy mandate if an employee determines that a resident is subject to substantial risk of imminent sexual abuse, he/she will take immediate action to protect the alleged victim. Employees should assume that all reports of sexual victimization, regardless of the source of the report (e.g., "third party") are credible and respond accordingly. Only designated employees specified by policy should be informed of the incident in order to respect the victim's privacy, security, and identity. All allegations of sexual abuse shall be handled in a confidential manner throughout the investigation. All conversations and contact with the alleged victim should be sensitive, supportive and non-judgmental.</p> <p>All staff interviewed indicated they would take immediate action to protect the residents. All stated they would keep the resident with them and report to the shift supervisor the residents real or perceived concerns of being at risk of imminent</p> |

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| | <p>sexual abuse. The supervisor will notify on call duty staff. The medical, mental health and administrative staff would assess the danger and make an appropriate housing plan to protect the student. During the last 12 months there were no instances when the center has had to take appropriate action to protect a student from sexual victimization.</p> <p>Compliance was determined by review of policies and interviews with direct care staff, non-direct care staff, and the facility administrator.</p> |
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| 115.363 | Reporting to other confinement facilities |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Camp Aspen Policy and Procedures - PREA Response Plan Following Student Report PAQ</p> <p>115.363(a-d) In the event that a student alleges that Sexual Abuse occurred while confined at another Facility, the Facility will document those allegations on the Log for Reporting an Allegation of Abuse that Occurred at Another Confinement Facility. The Facility Director will contact the Facility Director or designee where the abuse is alleged to have occurred as soon as possible, but no later than 72 hours after receiving the notification. The Facility will maintain documentation that it has provided such notification and all actions taken regarding the incident. Copies of this documentation will be forwarded to the PREA Compliance Manager and Corporate PREA Coordinator. Any Facility that receives notification of alleged abuse is required to ensure that the allegation is investigated in accordance with PREA standards. If another facility reports that a student in their care alleges that Sexual Abuse occurred while confined at an AYFS Facility, the Facility will document those allegations on the Log for Receiving Reports of Allegations of Abuse from Another Confinement Facility. The AYFS Facility will open an investigation in accordance with PREA standards including notification to the Columbia Police Department and the child protective services agency. During the past 12 months, there were no allegations of sexual abuse that were received from a receiving facility.</p> <p>Compliance was determined by review of investigative files, and interviews with facility investigator, facility PREA compliance manager and facility executive director.</p> |

115.364 Staff first responder duties

Auditor Overall Determination: Meets Standard

Auditor Discussion

The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.

AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)

Camp Aspen Policy and Procedures - PREA Response Plan Following Student Report

115.364 (a): Upon receipt of a report that a student was sexually abused, or if an employee sees abuse, the first staff member to respond will:

- a. Separate the alleged victim and abuser.
- b. Call for emergency medical care for the victim, if necessary.
- c. Immediately notify the Administrator On-Duty and remain on the scene until relieved by responding personnel.
- d. Preserve and protect the scene of the alleged abuse until appropriate steps can be taken to collect any evidence. When appropriate, the staff member will remove all students from the room or area.
- e. Assign the alleged victim and abuser to separate areas and ensure supervision by a same sex employee.
- f. If the alleged abuser is an employee, student intern or program volunteer, a supervisor must stay with the employee until further instruction is provided by an Administrator (e.g., safety plan, administrative leave).
- g. If the alleged abuse occurred within the past 96 hours, the employee(s) should request that the alleged victim not take any actions that could destroy physical evidence (e.g., washing, brushing teeth, changing clothes, urinating, defecating, drinking, or eating).
- h. Ensure that the alleged abuser not take any actions that could destroy physical evidence (e.g., washing, brushing teeth, changing clothes, urinating, defecating, drinking, or eating).
- a. Apart from reporting to designated supervisors, employees shall not reveal any information related to the incident to anyone other than to individuals involved with investigating the alleged incident.
- b. Document detailed description of
 - 1) Victim and abuser locations and affect (e.g., emotions, appearance)

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| | <p>2) Wounds and their location</p> <p>3) Anything the victim or abuser reported to the employee</p> <p>115.364 (b): If the first staff responder is not a security staff member, the responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff. Random staff interviews revealed considerable knowledge of actions to be taken upon learning a student alleges being sexually abused.</p> <p>Staff interviewed confirmed they knew their obligations when a student makes an allegation, or they suspect an incident of sexual abuse has occurred. The staff training and student's safety manual provides directions to staff and students that are sexually abused. Students interviewed knew to not take a bath, change clothing. Random interviews stated they would go directly to the medical or facility assistant director to report and understood that they would need to provide evidence for the center to prosecute the student or staff that sexually assaulted them. As in many juvenile residential programs all staff at Camp Aspen are considered direct care staff and are trained to carry out the responsibilities of direct care staff in any allegation of sexual abuse or sexual harassment. During the last 12 months there was 1 allegations of sexual abuse. This allegation was made by a client that left the center without permission and made the allegation when apprehended by the Columbia Police. The investigation was unfounded.</p> <p>Compliance was determined by a review of the center training plan, first responder flow chart and interviews with all staff including administrative support staff.</p> |
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| 115.365 | Coordinated response |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Camp Aspen Policy and Procedures - PREA - Response Plan Following Student Report</p> <p>Camp Aspen Coordinated Response Plan Includes the following:</p> <ul style="list-style-type: none"> · Facility Protection Duties · Staff First Responder Duties · Supervisory Employees' Responsibilities · Notifications required when Sexual Abuse is Alleged · Evidence Protocol - Medical Responsibilities |

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| | <ul style="list-style-type: none"> · Mental Health Responsibilities · Investigator Responsibilities · Facility Administrators' Responsibilities · Actions Required After Report of Sexual Harassment <p>The center has a coordinated response checklist that provides each of the above disciplines with their requirements to fulfill their duties in the coordinated response plan. The plan was reviewed and includes all areas of the center, agency, and MOU with The Pathway to Healing. In discussion with the Pathway staff leader, she was able to articulate the victim advocate role to report to the hospital and provide victim advocacy and after action provided emotional support to the victim. In interviews with random staff and specialized staff all knew their roles as a coordinated response plan. The staff yearly refresher provides training on a coordinated response plan.</p> <p>Compliance was determined by review of the Coordinated Response Plan for an Allegations of Sexual Abuse and sexual harassment. Interviews with random staff, nurse, mental health staff, victim advocate executive director, shift supervisors, PREA compliance managers and PREA coordinator.</p> |
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| 115.366 | Preservation of ability to protect residents from contact with abusers |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>115.366 (a): In every case where the alleged abuser is an Employee, Contractor, or Volunteer there will be no contact between the alleged abuser and the alleged victim pending the outcome of an investigation. Separation orders (e.g., safety plans) requiring "no contact" will be documented by facility management via email or memorandum within 24 hours of the reported allegation. The email or memorandum will be printed and maintained as part of the related investigation file.</p> <p>According to interviews with the agency head, AYFS will not enter or renew any collective bargaining agreement or other agreement that limits a Facility's ability to remove alleged Employee sexual abusers from contact with any Student pending the outcome of an investigation or of a determination of whether and to what extent</p> |

October 2nd thru October 8th

| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
|----|----------------------|------------|------------|------------|------------|------------|------------|------------|
| 1 | Shuler | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | OFF | OFF |
| | TL | | | | | | | |
| 2 | M. Watson | OFF | OFF | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-10:30 | 6:30-10:30 |
| | YDS | | | | | | | |
| 3 | McGray | | | | | | | |
| | YDS | | | | | | | |
| 4 | J. Whittleton | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | | | 6:30-2:30 | |
| | YDS | | | | | | | |
| 5 | Harris | | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | | | |
| 6 | VACANT | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| 7 | VACANT | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| 8 | VACANT (PT) | X | X | X | X | X | X | X |
| | | 3.00 | 4 | 4 | 4 | 3 | 4 | 3 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| 9 | McBride | 2:30-10:30 | 2:30-10:30 | OFF | OFF | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 |
| | TL | | | | | | | |
| 10 | VACANT | X | X | X | X | X | X | X |
| | TL Floating | | | | | | | |
| 11 | R. Jackson | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-6:30 | OFF | OFF |
| | YDS | | | | | | | |
| 12 | J. Graham | 6:30-2:30 | 6:30-2:30 | OFF | OFF | 6:30-10:30 | 6:30-10:30 | 6:30-10:30 |
| | YDS | | | | | | | |
| 13 | A. Menchaca | 6:30-2:30 | 6:30-2:30 | 6:30-10:30 | OFF | OFF | 6:30-10:30 | 6:30-10:30 |
| | YDS | | | | | | | |
| 14 | Coletrain | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | OFF | OFF |
| 15 | Herny | 2:30-10:30 | OFF | OFF | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 |
| 16 | | | | | | | | |
| | | 4 | 4 | 4 | 4 | 4 | 4 | 4 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| 17 | E. Henderson | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | OFF | 10:30-6:30 | 10:30-6:30 | OFF |
| | TL | | | | | | | |
| 18 | C. Huff | OFF | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | PTO | OFF | 10:30-6:30 |
| | YDS | | | | | | | |
| 19 | A. Jefferson | 10:30-6:30 | OFF | OFF | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 |
| | YDS | | | | | | | |
| 20 | S. Burrell | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | OFF | 10:30-6:30 | 10:30-6:30 |
| | YDS | | | | | | | |
| 21 | | | | | | | | |
| | YDS | | | | | | | |
| 22 | Pending | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| 23 | VACANT | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| | | 4 | 3 | 3 | 3 | 4 | 4 | 4 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| | Goins | OFF | 10:30-5:30 | 6:30-5:30 | 6:30-5:30 | 6:30-5:30 | OFF | OFF |
| | Bethel | 6:30-5:30 | 6:30-2:30 | OFF | OFF | OFF | 7:00-5:30 | 7:00-5:30 |
| | | | | | | | | |
| | | | | | | | | |

Highlighted in yellow are off days....

Highlighted in blue are out on PTO....

Highlighted in orange are training days....

Highlighted in green are out on leave....

October 2nd thru October 8th

| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
|----|-----------------------------|------------|------------|------------|------------|------------|------------|------------|
| 1 | Shuler TL | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | OFF | OFF |
| 2 | M. Watson YDS | OFF | OFF | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-10:30 | 6:30-10:30 |
| 3 | McCray YDS | | | | | | | |
| 4 | J. Whittleton YDS | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | | | 6:30-2:30 | |
| 5 | Harris | | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | | | |
| 6 | VACANT YDS | X | X | X | X | X | X | X |
| 7 | VACANT YDS | X | X | X | X | X | X | X |
| 8 | VACANT (PT) | X | X | X | X | X | X | X |
| | | 3.00 | 4 | 4 | 4 | 3 | 4 | 3 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| 9 | McBride TL | 2:30-10:30 | 2:30-10:30 | OFF | OFF | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 |
| 10 | VACANT TL Floating | X | X | X | X | X | X | X |
| 11 | R. Jackson YDS | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-6:30 | OFF | OFF |
| 12 | J. Graham YDS | 6:30-2:30 | 6:30-2:30 | OFF | OFF | 6:30-10:30 | 6:30-10:30 | 6:30-10:30 |
| 13 | A. Menchaca YDS | 6:30-2:30 | 6:30-2:30 | 6:30-10:30 | OFF | OFF | 6:30-10:30 | 6:30-10:30 |
| 14 | Coletrain | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | OFF | OFF |
| 15 | Herny | 2:30-10:30 | OFF | OFF | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 |
| 16 | | | | | | | | |
| | | 4 | 4 | 4 | 4 | 4 | 4 | 4 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| 17 | E. Henderson TL | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | OFF | 10:30-6:30 | 10:30-6:30 | OFF |
| 18 | C. Huff YDS | OFF | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | PTO | OFF | 10:30-6:30 |
| 19 | A. Jefferson YDS | 10:30-6:30 | OFF | OFF | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 |
| 20 | S. Burrell YDS | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | OFF | 10:30-6:30 | 10:30-6:30 |
| 21 | | | | | | | | |
| 22 | Pending YDS | X | X | X | X | X | X | X |
| 23 | VACANT YDS | X | X | X | X | X | X | X |
| | | 4 | 3 | 3 | 3 | 4 | 4 | 4 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| | Goins | OFF | 10:30-5:30 | 6:30-5:30 | 6:30-5:30 | 6:30-5:30 | OFF | OFF |
| | Bethel | 6:30-5:30 | 6:30-2:30 | OFF | OFF | OFF | 7:00-5:30 | 7:00-5:30 |
| | | | | | | | | |
| | | | | | | | | |

Highlighted in yellow are off days....

Highlighted in blue are out on PTO....

Highlighted in orange are training days....

Highlighted in green are out on leave....

October 2nd thru October 8th

| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
|----|----------------------|------------|------------|------------|------------|------------|------------|------------|
| 1 | Shuler | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | OFF | OFF |
| | TL | | | | | | | |
| 2 | M. Watson | OFF | OFF | 6:30-2:30 | 6:30-2:30 | 6:30-2:30 | 6:30-10:30 | 6:30-10:30 |
| | YDS | | | | | | | |
| 3 | McCray | | | | | | | |
| | YDS | | | | | | | |
| 4 | J. Whittleton | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | | | 6:30-2:30 | |
| | YDS | | | | | | | |
| 5 | Harris | | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | | | |
| 6 | VACANT | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| 7 | VACANT | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| 8 | VACANT (PT) | X | X | X | X | X | X | X |
| | 3.00 | 4 | 4 | 4 | 4 | 3 | 4 | 3 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| 9 | McBride | 2:30-10:30 | 2:30-10:30 | OFF | OFF | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 |
| | TL | | | | | | | |
| 10 | VACANT | X | X | X | X | X | X | X |
| | TL Floating | | | | | | | |
| 11 | R. Jackson | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-6:30 | OFF | OFF |
| | YDS | | | | | | | |
| 12 | J. Graham | 6:30-2:30 | 6:30-2:30 | OFF | OFF | 6:30-10:30 | 6:30-10:30 | 6:30-10:30 |
| | YDS | | | | | | | |
| 13 | A. Menchaca | 6:30-2:30 | 6:30-2:30 | 6:30-10:30 | OFF | OFF | 6:30-10:30 | 6:30-10:30 |
| | YDS | | | | | | | |
| 14 | Coletrain | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | OFF | OFF |
| | | | | | | | | |
| 15 | Herny | 2:30-10:30 | OFF | OFF | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 | 2:30-10:30 |
| | | | | | | | | |
| 16 | | | | | | | | |
| | | 4 | 4 | 4 | 4 | 4 | 4 | 4 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| 17 | E. Henderson | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | OFF | 10:30-6:30 | 10:30-6:30 | OFF |
| | TL | | | | | | | |
| 18 | C. Huff | OFF | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | PTO | OFF | 10:30-6:30 |
| | YDS | | | | | | | |
| 19 | A. Jefferson | 10:30-6:30 | OFF | OFF | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 |
| | YDS | | | | | | | |
| 20 | S. Burrell | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | 10:30-6:30 | OFF | 10:30-6:30 | 10:30-6:30 |
| | YDS | | | | | | | |
| 21 | | | | | | | | |
| | YDS | | | | | | | |
| 22 | Pending | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| 23 | VACANT | X | X | X | X | X | X | X |
| | YDS | | | | | | | |
| | | 4 | 3 | 3 | 3 | 4 | 4 | 4 |
| | Name | Mon 2 | Tues 3 | Wed 4 | Thur 5 | Fri 6 | Sat 7 | Sun 8 |
| | Goins | OFF | 10:30-5:30 | 6:30-5:30 | 6:30-5:30 | 6:30-5:30 | OFF | OFF |
| | Bethel | 6:30-5:30 | 6:30-2:30 | OFF | OFF | OFF | 7:00-5:30 | 7:00-5:30 |
| | | | | | | | | |
| | | | | | | | | |

Highlighted in yellow are off days....

Highlighted in blue are out on PTO....

Highlighted in orange are training days....

Highlighted in green are out on leave....

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| | <p>discipline is warranted. Camp Aspen does not have a collective bargaining agreement.</p> <p>Compliance was determined by interviews with the agency head, PREA coordinator and Facility Executive Director.</p> |
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| 115.367 | Agency protection against retaliation |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Retaliation Logs</p> <p>Camp Aspen Policy and Procedures - PREA Response Plan Following Student Report</p> <p>- PREA Response Plan Following Client Report</p> <p>PAQ</p> <p>115.367 (a): Facility PREA Response Plan Following Client Report establishes for protection or student against retaliation and staff for reports of sexual abuse or harassment or cooperation with investigations. Allegations of retaliation shall be immediately reported to the site supervisor or designee. In instances where the supervisor is believed to be involved in the retaliation, the employee shall notify the supervisor or designee at the next appropriate supervisory level. For 90 calendar days, or longer based on continuing need, following a report of sexual abuse, the PREA Compliance Manager shall monitor the conduct or treatment of any individual, students or employee, who were involved in a reported incident, and shall act promptly to remedy any such retaliation. Monitoring steps include reviewing group, or facility assignments, reviewing students progress reports, periodic status checks with the students, and performance reviews or reassignments of employees involved in the initial report or investigation. During the last 12 months there was one allegations of sexual abuse. The student that made the allegation was no longer in the center. There was no retaliation noted in the PAQ.</p> <p>115.367 (b): The agency shall employ multiple protection measures, such as housing changes or transfers for student victims or abusers, removal of alleged staff or student abusers from contact with victims, and emotional support services for students or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations. The policy identifies measures to</p> |

protect staff and students including the following: a. Initiating housing changes or transfers for student victims or abusers; b. Removing alleged staff or student abusers from contact with victims; and c. Providing emotional support services. The interview confirmed the facility would protect students and staff from retaliation for sexual abuse and sexual harassment allegations. Protective measures would include housing changes, transfers, removing alleged abusers, and emotional support services. The PREA Compliance Manager identified protective measures that are aligned with the standard, including separating the alleged abuser from the alleged victim.

115.367 (c): For at least 90 days following a report of sexual abuse, the agency shall monitor the conduct or treatment of students or staff who reported the sexual abuse and of students who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by students or staff and shall act promptly to remedy any such retaliation. Items the agency should monitor include any student disciplinary reports, housing, or program changes, or negative performance reviews or reassignments of staff. The agency shall continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need. AYFS Policy 5.5 requires the monitoring of items identified in this provision of the standard. The PREA Compliance Manager explained during the interview how she would discharge those duties, including monitoring the items identified in the standard and whether a student filed a grievance alleging sexual abuse or sexual harassment. Retaliation monitoring would occur for 90 days to see if there are any changes that may suggest possible retaliation by students or staff, and shall act promptly to remedy any such retaliation, according to Policy. The monitoring will continue beyond ninety (90) days if the initial monitoring indicates a continuing need. There have been no incidents of retaliation during the 12 months preceding the audit.

115.367 (d): In the case of students, such monitoring shall also include periodic status checks. The PREA Compliance Manager indicated status checks would be initiated with staff and students. The policies and procedures state periodic status will occur. The Retaliation Status Checklist would be used to document the status checks as well as the Retaliation Monitoring Checklist to document the ongoing monitoring.

115.367 (e): If any other individual who cooperates with an investigation expresses a fear of retaliation, the facility shall take appropriate measures to protect that individual against retaliation. Policy states if any other individual who cooperates with an investigation expresses the occurrence of retaliation from another student or staff member, Camp Aspen shall take appropriate measures to protect that individual against retaliation.

The PREA compliance manager indicated she would visit the student whenever an allegation is lodged by students or third party immediately and discuss his role to monitor for retaliation. The clinical supervisor indicated that therapist would also monitor for retaliation. The facility administrator indicated he would monitor staff that report and cooperated with any investigations.

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| | Compliance was determined by review of the policies, the monitoring check list and interviews with the retaliation monitor, facility executive director and Agency PREA coordinator. |
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| 115.368 Post-allegation protective custody | |
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| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Camp Aspen Policy and Procedures – PREA - Response Plan Following Client Report</p> <p>Statement of Fact</p> <p>115.368 (a) Sexually Abusive Behavior Prevention and Intervention Program</p> <p>addresses this standard regarding placing students in isolation who have alleged to have suffered sexual abuse. According to policy, a student would only be placed in isolation as a last resort if less restrictive measures are inadequate and only until alternative placement could be found. Interviews with the PREA compliance manager, clinical director and facility director stated they would develop a plan to protect the student. If no other alternative is available, the student could be moved to a facility to protect the student. are inadequate to keep them and other Residents safe, and then only until an alternative means of keeping all Residents safe can be arranged.</p> <ul style="list-style-type: none"> • During any period of isolation, Facilities will not restrict Resident’s daily large-muscle exercise and any legally required educational programming or special education services. • Residents in isolation will receive daily visits from a medical or mental health care clinician. • Residents will also have access to other programs and work opportunities to the extent possible. <p>If a Resident is isolated pursuant to paragraph (b) of this section, the Facility will clearly document the following:</p> <ul style="list-style-type: none"> • The basis for the Facility’s concern for the Resident’s safety; and |

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| | <ul style="list-style-type: none"> • The reason why no alternative means of separation can be arranged. • Every 30 days, the status of the Resident will be reviewed to determine whether there is a continuing need for separation from the general population. <p>During the last PREA cycle no student has been placed in an isolated area for PREA related sexual abuse or fear of being sexually abused. All staff interviewed indicated they are not allowed to segregate students without first receiving approval from the facility Executive Director.</p> <p>Compliance was determined by review of policy and interviews with Facility Executive Director and Clinical Director.</p> |
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| 115.371 | Criminal and administrative agency investigations |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Website</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>AYFS Policy 5.3 Investigating PREA Allegations</p> <p>Camp Aspen Policy and Procedures - PREA- PREA Response Plan Following Student Report</p> <p>Investigative Worksheets</p> <p>115.371 (a): AYFS Policy 5.3 Investigating PREA Allegations establishes the agency policy that all allegations of sexual abuse or sexual harassment will be investigated, including third party reports of sexual abuse or sexual harassment. All allegations of sexual abuse are referred Columbia Police Department for investigation unless the incident is not criminal in nature. When the facility conducts an investigation, it is completed by a trained investigator and is conducted promptly, thoroughly and objectively.</p> <p>115.371 (b): Where sexual abuse is alleged, the agency shall use investigators who have received special training in sexual abuse investigations involving juvenile victims pursuant to § 115.334. At Camp Aspen all investigations are conducted by staff that have received special training in sexual abuse investigations.</p> <p>115.371 (c): Investigators shall gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available</p> |

electronic monitoring data; shall interview alleged victims, suspected perpetrators, and witnesses; and shall review prior complaints and reports of sexual abuse involving the suspected perpetrator.

115.371 (d): Based on review of investigative files and policy AYFS Policy 5.3 Investigating PREA Allegations, Camp Aspen does not terminate an investigation solely because the source of the allegation recants the allegation.

115.371 (e): When the quality of evidence appears to support criminal prosecution, the agency will refer the investigation authority to the Columbia Police Department who shall conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution. By policy, Camp Aspen will not conduct compelled interviews if the quality of evidence supports prosecution, so as not to hamper that prosecution. These practices were confirmed by Auditor interviews with the PREA investigators.

115.371 (f): The credibility of an alleged victim, suspect, or witness shall be assessed on an individual basis and shall not be determined by the person's status as student or staff. No agency shall require a student who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding with the investigation of such an allegation.

115.371 (g): Administrative investigations shall include an effort to determine whether staff actions or failures to act contributed to the abuse; and shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings.

115.371 (h): Criminal investigations shall be documented in a written report that contains a thorough description of physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible.

115.371 (i): Substantiated allegations of conduct that appear to be criminal shall be referred for prosecution by Columbia Police Department.

115.371 (j): The agency shall retain all written reports referenced in paragraphs (g) and (h) of this section for as long as the alleged abuser is incarcerated or employed by the agency, plus five years, unless the abuse was committed by a juvenile student and applicable law requires a shorter period of retention.

115.371 (k): The departure of the alleged abuser or victim from the employment or control of the facility or agency shall not provide a basis for terminating an investigation.

115.371 (l): Any State entity or Department of Justice component that conducts such investigations shall do so pursuant to the above requirements.

115.371 (m): When outside agencies investigate sexual abuse, the facility shall cooperate with outside investigators and shall endeavor to remain informed about the progress of the investigation.

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| | <p>During the last 12 months there was one allegations of student-on-student sexual abuse. All allegations were referred to Columbia Police Department for investigation. This investigation was determined to be unfounded.</p> <p>Compliance was determined by interviews with the facility investigator, PREA coordinator, agency head, agency website and facility administrator.</p> |
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| 115.372 | Evidentiary standard for administrative investigations |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.3 Investigating PREA Allegations</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Investigator Training Curriculum</p> <p>The facility investigators conduct administrative investigations. The investigator may make a finding of Substantiated, Not Substantiated, Unfounded, or Pending Further Investigation. The finding will be made using the standard of proof of the preponderance of the evidence (51 % of the evidence). The Investigator must objectively review all of the evidence which is in favor of or contrary to the finding. The investigator must objectively consider and balance the evidence in favor of or contrary to the finding; and in order to support a finding of child abuse or neglect by a Preponderance of Evidence. Investigator must be convinced that the evidence in favor of the finding outweighs the evidence against the finding, or is convinced that the evidence, when taken as a whole, shows that it is more probable than not that the alleged incident took place in this case.</p> <p>Compliance of the standard was determined by reviewing the policy, investigative report and interview with the agency PREA coordinator and investigators.</p> |

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| 115.373 | Reporting to residents |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> |

AYFS Policy 5.3 Investigating PREA Allegations

AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)

Camp Aspen Policy and Procedures L6 -PREA- Response Plan Following Student Report

115.373 (a): At the conclusion of an investigation, the facility investigator, PREA Compliance Manager, or staff member designated by the facility administrator will inform the victim of the allegation in writing, whether the allegation has been substantiated, unsubstantiated, unfounded.

115.373 (b): AYFS Policy 5.3 Investigating PREA Allegations requires that if the facility did not conduct the investigation, it will request the relevant information from the investigating agency in order to inform the individual.

115.373 (c): Following a student's allegation that a staff member has committed sexual abuse against the student, the agency shall subsequently inform the student (unless the agency has determined that the allegation is unfounded) whenever: (1) The staff member is no longer posted within the student's unit; (2) The staff member is no longer employed at the facility; (3) The agency learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or (4) The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility.

115.373 (d): Following a student's allegation that he or she has been sexually abused by another student, the agency shall subsequently inform the alleged victim whenever: (1) The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility; or (2) The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.

115.373 (e): Policy requires all such notifications or attempted notifications to be documented. The Statewide PREA Coordinator has developed a form for notification to students of the outcome of investigations that includes a signature from the student.

Based on the PAQ, review of the investigative files and interview with the facility investigator, the facility or outside agency concluded 1 investigative report. The students were no longer housed at Camp Aspen.

Based on a review of Policy and interviews with PREA compliance manager and PREA coordinator for AYFS it was determined that the agency is in compliance with this standard.

115.376 | **Disciplinary sanctions for staff**

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| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>AYFS policy 5.3 Investigating PREA Allegations</p> <p>115.376 (a): Staff is subjected to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies.</p> <p>115.376 (b): According to agency Policy and interview with facility administrator all allegations of sexual abuse shall be immediately investigated. Upon the conclusion of the investigation, if staff is determined that they were involved in sexual abuse of a student, that staff will be terminated immediately, and the investigation will be forwarded to law enforcement for further review and charges. There was no finding of sexual abuse or sexual harassment by staff in the last 12 months.</p> <p>115.376 (c): Disciplinary sanctions for violations of agency policies relating to sexual abuse and harassment other than engaging in sexual abuse will be commensurate with the nature and circumstances of the acts committed. However, most likely any degree of sexual abuse and harassment will be met with termination of the staff member.</p> <p>115.376 (d): All staff members who are terminated and or resign in lieu of termination due to violations of the sexual abuse and sexual harassment policy shall be reported to law enforcement.</p> <p>There has been no adverse action taken against staff for violation of sexual abuse, sexual harassment, child neglect or violation of PREA standards during the last 12 months.</p> <p>Compliance was determined by review of the agency policy, interview with PREA coordinator and facility executive director.</p> |

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| <p>115.377</p> | <p>Corrective action for contractors and volunteers</p> |
| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> |

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| | <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>AYFS Policy 5.3 Investigating PREA Allegations</p> <p>Volunteer and Contractor Training Program</p> <p>PAQ</p> <p>115.377 (a) AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program provide any contractor or volunteer who engages in sexual abuse is prohibited from contact with students. The Policies also provide for contractors and volunteers who engage in sexual abuse to be reported to law enforcement and to relevant licensing bodies.</p> <p>115.377 (b) The documentation and interviews with the PREA coordinator, assistant facility administrator revealed the provision of information to volunteers and contractors that sexual misconduct with a student is strictly prohibited. In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, the facility will take appropriate remedial measures, and consider whether to prohibit further contact with students, however, would most likely prohibit them from further contact with students.</p> <p>Compliance was determined by training curriculum, contractor and volunteer applications and interviews with the PREA compliance manager and Facility Executive Director.</p> |
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| 115.378 | Interventions and disciplinary sanctions for residents |
| | Auditor Overall Determination: Exceeds Standard |
| | Auditor Discussion |
| | <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>AYFS Policy 5.3 Investigating PREA Allegations</p> <p>AYFS Policy 5.6 - Oversight - Zero Tolerance Towards Sexual Abuse and Harassment</p> <p>PREA posters</p> <p>PAQ</p> <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>In interviews with staff at Camp Aspen it was noted that Camp Aspen is a therapeutic program whose mission is to correct inappropriate behavior while</p> |

keeping all students safe from sexual abuse or sexual harassment. Therefore, the use of disciplinary action for non-criminal action would be dealt with through individual and group therapy programming. It may result in further time at the facility to correct the underlying causes of the sexual misbehavior.

115.378 (a): A student may be subject to disciplinary sanctions only pursuant to a formal disciplinary process following an administrative finding that the student engaged in student-on-student sexual abuse or following a criminal finding of guilt for student-on-student sexual abuse. AYFS Policy 5.5 requires an administrative process for dealing with violations of student-on-student sexual abuse. The Facility Administrator's interview confirms the formal disciplinary process. However, students may also be referred to law enforcement for charges regarding student-on-student sexual abuse. Sexual activity between students is prohibited and court or administrative processes and sanctions occur after a determination the sexual activity was coerced. Students will be disciplined for sexual contact with staff only when it has been determined the staff member did not consent to the sexual contact. AYFS Policy 5.5 provides anyone reporting in good faith will not receive any repercussions. The policies and interview with the mental health staff confirms counseling or other interventions will be offered to address and correct the underlying reasons or motivations for abuse when the student remains in or returns to the facility after a sexual abuse incident. The interview also revealed any type interventions or treatment services provided are not as a condition for the student to access participation in the behavior management system, education services, or other programs. The interview with the Facility Administrator revealed the process regarding allegations of student-on-student abuse which can include the student being removed from the facility when other interventions are not effective.

115.378 (b): Any disciplinary sanctions shall be commensurate with the nature and circumstances of the abuse committed, the student's disciplinary history, and the sanctions imposed for comparable offenses by other students with similar histories. In the event a disciplinary sanction results in the isolation of a student, agencies shall not deny the student daily large-muscle exercise or access to any legally required educational programming or special education services. Students in isolation shall receive daily visits from a medical or mental health care clinician. Students shall also have access to other programs and work opportunities to the extent possible. Camp Aspen provides that disciplinary sanctions are commensurate with the nature and circumstances of the abuse committed, the student's disciplinary history, and the sanctions imposed for comparable offenses by other students with similar histories. In the extreme event a disciplinary sanction results in the isolation of a student, Camp Aspen shall not deny the student daily large-muscle exercise or access to any legally required educational programming or special education services. Policy further provides for daily visits by mental health and medical personnel. Students shall also have access to other programs and work opportunities to the extent possible and receive daily visits from medical and mental health staff.

115.378 (c): The disciplinary process shall consider whether a student's mental disabilities or mental illness contributed to his or her behavior when determining

what type of sanction, if any, should be imposed. Camp Aspen provides that the disciplinary process considers whether a student's mental disabilities or mental illness contributed to his or her behavior when determining what type of sanction, if any, should be imposed. This was confirmed by the interview with the Clinical Director.

115.378 (d): Camp Aspen offers therapy, counseling or other interventions designed to address the reasons or motivations for the abuse, the Facility will consider requiring the offending Student to participate as a condition of access to any rewards-based behavior management system or other behavior-based incentives, but not as a condition to access to general programming or education.

115.378 (e): The agency may discipline a student for sexual contact with staff only upon a finding that the staff member did not consent to such contact.

115.378 (f): For the purpose of disciplinary action, a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation. AYFS Policy 5.5 states a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.

115.378 (g): An agency prohibits all sexual activity between students and may discipline students for such activity. An agency may not, however, deem such activity to constitute sexual abuse if it determines that the activity is not coerced. AYFS prohibits any sexual conduct between students. All such conduct is subject to disciplinary action. Court processes occur after determination the sexual activity was coerced.

Behavioral Intervention/Level System is used to reinforce positive change in behavior and to provide therapeutic benefit. It can also be used to relay information about a youth's progress, as an indicator of improving behaviors and movement toward discharge. Levels are established to reflect phases of treatment as well as indicators of behavioral progress.

Abraxas utilizes the Sanctuary® Model in creating a trauma-informed culture. Sanctuary® is a blueprint for clinical and organizational change which, at its core, promotes safety and recovery from psychological and social traumatic experiences through the active creation of a trauma informed therapeutic community. A recognition that trauma is pervasive in the experience of human beings forms the basis for the Sanctuary® Model's focus, not only on the people who seek services, but equally on the people and systems who provide those services.

Camp Aspen approach to care and treatment is trauma-informed, comprehensive and holistic. Our program emphasizes the enhancement of physical, social, emotional, and academic development in a safe and nurturing environment. The clinical team takes an active and collaborative approach with direct care staff and

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| | <p>educational teams to ensure resident success throughout these environments in addressing therapeutics needs and well-being.</p> <p>The Statement of Fact indicated during this audit period this facility has not had any incidents that require intervention for students having sexual conduct. Based on the review of the agency Policy, observations and information obtained through the staff interview and review of documentation, the facility has demonstrated compliance with this standard.</p> |
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| 115.381 | Medical and mental health screenings; history of sexual abuse |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Screening for the Risk of Victimization and SAB - Assessment Tool</p> <p>Intake PREA Assessments</p> <p>Reassessments</p> <p>115.381 (a) If any of the intake screening forms indicates a student has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, the facility administrator or other intake officer shall document the information on the Follow up Notification Form. According to the Clinical Director all students that arrive at Camp Aspen are seen by the clinical director within 72 hours of arrival and the same question about victimization is discussed during their initial Mental Health intake. However, the screening staff document and forward a follow up notification form. The center also conducts a reassessment within 30 days of arrival at the center and then at least quarterly thereafter. If a student is determined to be at risk of victimization based on the initial or subsequent screening, the student is rescreened monthly. During the last 12 months no residents have been referred to Mental Health staff after the initial or subsequent screenings.</p> <p>115.381 (b) If any of the intake screening forms indicates a student has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the student is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening. This same information is discussed with the clinical staff within 72 hours of intake. However, the screening staff document and forward a follow up notification form. In the past</p> |

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| | <p>12 months, no students had previously perpetuated sexual abuse, as indicated during screening.</p> <p>115.381 (c): Any information related to sexual victimization or abuse that occurred in an institutional setting shall be strictly limited to medical and mental health practitioners and other staff, as necessary, to develop treatment plans and security and management decisions, including housing, bedding, education, and bedding, education, and program assignments, or as otherwise required by Federal, State, or Local law.</p> <p>115.381 (d): Medical and mental health staff is required to notify students at the initiation of services their duty to report, limitations of confidentiality, and must obtain informed consent from students who are 18 years old or older before reporting information about the student's prior sexual victimization that did not occur in an institutional setting. Students who report prior sexual victimization or disclose prior incidents of perpetrating sexual abuse, either in an institution or in the community, are required to be offered a follow-up with a medical or mental health practitioner within 14 days of admission/screening.</p> <p>Compliance was determined by a review of the center's policies and interview with students, and mental health staff.</p> |
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| 115.382 | Access to emergency medical and mental health services |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Camp Aspen Policy and Procedures Sexually Abusive Behavior Prevention and Intervention</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)</p> <p>Camp Aspen Policy and Procedures - PREA - Response Plan Following Resident Report</p> <p>MOU The Pathway to Healing</p> <p>115.382 (a): Facility PREA Response Plan Following Student Report mandate students' victims of sexual abuse receive timely and unimpeded access to onsite and offsite emergency medical treatment and crisis intervention services, the nature and scope as determined by the judgement of medical and mental health professionals. Medical coordinator and mental health staff interviews confirmed emergency medical care and crisis intervention services will be provided by medical</p> |

and mental health staff as required. Administrative staff are on-call and are immediately contacted by on-duty facility first responders. Should the student require immediate medical care, they would be transported to Prisma Health/Richland Hospital by County EMS personnel. Observations revealed medical and mental health staff members maintain secondary materials that document services to students and these staff are knowledgeable of what must occur in an incident of sexual abuse. It is documented through policies and understood by the medical and mental health staff that treatment services will be provided at no cost to the victim, whether or not the victim cooperates with the investigation.

Students are provided access to an outside victim advocacy agency for services through a MOU with Pathway to Healing which includes but is not limited to emotional support and accompaniment through the forensic examination and investigative interviews. The advocate will go to the facility or the hospital to provide services. Review of medical files shows that medical and mental health staff members maintain secondary materials and documentation of student encounters.

AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program - Access to Emergency Medical and MH requires student victims of sexual abuse are offered timely information about and timely access to emergency contraception and sexually transmitted disease prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate and unimpeded access to emergency medical treatment and crisis intervention services. The medical staff have a protocol in place to assist in expediting a student to the emergency room with specific documentation for the direct care staff. The facility utilizes Local Hospital for emergency medical treatment and SANE.

115.382 (b): The facility Medical Service Coordinator confirmed that treatment services are provided without cost and regardless of whether the student victim names the abuser or cooperates with investigations arising out of the incident.

Interviews with staff from Pathway to Healing and Ohio Mansfield Medical Center indicated there is an on call SANE staff available 24/7 for forensic examinations. There is also a Victim Advocate on call 24/7 to support a student that has been sexually assaulted.

115.382 (c): Student victims of sexual abuse while incarcerated shall be offered timely information about and timely access to sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate. The PAQ and interviews confirmed processes and services are in place for a victim to receive timely access to sexually transmitted infection prophylaxis, where medically appropriate. Additionally, follow-up services as needed will be provided by Prisma Health/Richland Hospital and facility mental health staff, according to the interviews with clinical staff.

115.382 (d): The facility PREA Response Plan Following Student Report mandates access to emergency medical and mental health services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. This was also

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| | <p>confirmed through staff interviews. The staff at the Pathway to Healing indicated there were no costs associated with advocacy, emotional services, and mental health services.</p> <p>The policy revealed emergency services will be provided by medical and mental health staff. The medical and mental health staff interviews revealed they are knowledgeable of actions to take regarding an incident of sexual abuse. It is documented through policy and understood by the medical and mental health staff that treatment services will be provided at no cost to the victim.</p> <p>Based upon the review of policies, interviews with the Medical Coordinator and mental health staff and interviews with staff from the Pathway to Healing, the facility is compliant with this standard.</p> |
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| 115.383 | Ongoing medical and mental health care for sexual abuse victims and abusers |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>Camp Aspen Policy and Procedures - PREA - Response Plan Following Resident Report</p> <p>115.383 (a): The facility shall offer medical and mental health evaluation and, as appropriate, treatment to all students who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility. The clinical director indicated in an interview that the facility would provide treatment as indicated as prior victimization can be part of the treatment needed for recovering students. The facility makes a referral to mental health for anyone that provides information on prior victimization or past predator behavior in order to comply with the standard. However, the clinical director indicated that all students that go through intake at the facility are seen by mental health within 72 hours.</p> <p>115.383 (b): The Facility PREA Response Plan Following Student Report includes a provision that the evaluation and treatment of such victims shall include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody. Interviews with the clinical staff and observations confirmed on-going medical care at Prisma Health/Richland Hospital and mental health care will be provided as appropriate and will include but not limited to additional testing and medical services; medication management, if prescribed; individual counseling; trauma group; and referrals as needed. Students that are housed at Camp Aspen have a follow-up plan which includes mental health counseling as needed. The plan</p> |

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| | <p>also includes the family in supporting the student. The Pathway to Healing is part of the would provide students with support programs when released from the center.</p> <p>115.383 (c): Based on interviews with the medical coordinator and mental health staff the facility shall provide victims with medical and mental health services consistent with the community level of care.</p> <p>115.383 (d)(e): The facility only houses male students.</p> <p>115.383 (f) The Policy and interviews ensure that victims of sexual abuse will be provided tests for sexually transmitted infections as medically appropriate. Testing would be done at the and follow-up services is provided by Prisma Health/Richland Hospital, as needed.</p> <p>115.383 (g) All treatment services will be provided at no cost to the victim, according to DJJ policy and staff interviews.</p> <p>115.383 (h) Policy provides for attempts to be made for a mental health practitioner to conduct a mental health evaluation within 60 days on all known student-on student abusers and offer appropriate treatment by mental health staff. Services will include but not be limited to individual, group and family counseling. Additionally, an evaluation or reassessment will be administered utilizing the Vulnerability Assessment.</p> <p>Based on a review of the PREA policies, and interviews with the Pathway to Healing, clinical director, medical coordinator, PREA coordinator, and executive director the facility is in compliance with this standard. In interviews with students and interaction with staff it was obvious that the students have a high level of trust with the clinical staff and would feel safe in reporting a sexual abuse or sexual activity while on weekend passes. The staff interviewed were aware of the population and while they would not condone activity, they would be responsive to the needs of the students.</p> |
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| 115.386 | Sexual abuse incident reviews |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Camp Aspen Policy and Procedures - PREA - Response Plan Following Resident Report</p> <p>115.386 (a) AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention</p> |

Program requires an incident review team meeting within 30 days of the conclusion of each investigation unless the finding is unfounded. The review team participants include the agency wide PREA Coordinator, the facility's PREA Compliance Manager, facility administrator, medical and mental health staff. There were no allegations of sexual abuse or sexual harassment during the last 12 months that have been resolved and required a IRT meeting. The one allegation was unfounded.

The interview with the PREA Compliance Manager and a review of the form used to document the incident review team's findings indicate the team: consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse considers whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility; examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; assess the adequacy of staffing levels in that area during different shifts; assess whether monitoring technology should be deployed or augmented to supplement supervision by staff.

115.386 (b): The Agency policy requires that the reviews occur within 30 days of the conclusion of the investigation. There has been no allegation of sexual abuse.

115.386 (c): The policy mandates review team participation to include the agency wide PREA Coordinator, the facility's PREA Compliance Manager, facility administrator, medical and mental health staff.

115.386 (d): The committee reviewed the following:

1) Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse.

2) Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; or, gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility;

(3) Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse.

(4) Assess the adequacy of staffing levels in that area during different shifts.

(5) Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff; and

(6) Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to paragraphs (d)(1)-(d)(5) of this section, and any recommendations for improvement and submit such report to the facility head and PREA Compliance Manager.

115.386 (e): The policy outlines the requirements of the standard for the areas to

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| | <p>be assessed by the incident review team. The interview with the Facility Administrator, review documentation confirmed the incident review team meeting are documented, including recommendations and the document provided to the Facility Administrator. The interview with the Incident Review Team Member confirmed the facility would prepare a report of its findings and any recommendations for improvement when conducting a sexual abuse incident review. He confirmed the team would consider all factors required by the standard.</p> <p>Compliance was determined by review of policy and interviews with the incident review team members, facility executive director, PREA compliance manager and PREA Coordinator.</p> |
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| 115.387 | Data collection |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Website</p> <p>AYFS 2021 Annual PREA Report - Final</p> <p>AYFS 2022 Annual PREA Report</p> <p>Camp Aspen 2022 Annual PREA Assessment Report</p> <p>Camp Aspen 2023 Annual PREA Assessment Report</p> <p>115.387 (a): A review of reports confirms that AYFS collects incident-based, uniform data regarding allegations of sexual abuse at facilities under its direct control, including contractors, using a standardized instrument and specific guidelines. The format used for AYFS facilities capture the information required to complete the most recent version of the Survey of Sexual Violence conducted by the U. S. Department of Justice (DOJ).</p> <p>AYFS maintains and collects various types of identified data and related documents regarding sexual abuse incidents. The facility collects and maintains data in accordance with directives by AYFS. AYFS aggregates the sexual abuse data which culminates into an annual report. The agency provides DOJ with data as requested.</p> <p>115.387 (b): The facility collects and maintains data in accordance with directives by AYFS. A standardized instrument and specific guidelines and definitions are used to assist in identifying the data.</p> |

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| | <p>115.387 (c): The format used for AYFS facilities capture the information required to complete the most recent version of the Survey of Sexual Violence conducted by the U. S. Department of Justice (DOJ).</p> <p>115.387 (d): The facility maintains and collects various types of identified data and related documents regarding PREA. The facility collects and maintains data in accordance with Policy directives</p> <p>115.387 (e): Camp Aspen is a private facility that does not contract with other facilities to house students.</p> <p>115.387 (f): AYFS policy mandates that upon request, AYFS shall provide all such data from the previous calendar year to the Department of Justice no later than June 30. A request was not made for the previous calendar year.</p> <p>Compliance was determined by reviewing data collections for preceding two years, review of AYFS Policies and interview with the PREA coordinator, PREA compliance manager, facility executive director and AYFS agency head,</p> |
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| 115.388 | Data review for corrective action |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS 2021 Annual PREA Report</p> <p>AYFS 2022 Annual PREA Report</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>Camp Aspen Annual PREA Assessment</p> <p>115.388 (a): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program recognizes the purpose of conducting annual reports and annual PREA assessments are to review data collected and aggregated pursuant to § 115.387 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by taking corrective action on an ongoing basis. Further to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole.</p> |

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| | <p>115.388 (b): A review of the annual reports for the last 2 years included a comparison of the current year's data and corrective actions with those from prior years and provided an assessment of the agency's progress in addressing sexual abuse.</p> <p>115.388 (c): The annual report is reviewed by the PREA coordinator, his supervisor and agency administrative staff and signed by the Agency Head. Compliance was determined by the PREA policy and website review.</p> <p>115.388 (d): AYFS Policy 5.5 indicates that all information that is placed on the website will not include personal identifies. The annual report has been reviewed and the report is accessible to the public through the facility's website. There are no personal identifiers in the annual report.</p> <p>Compliance was determined by reviewing data collections for the preceding two years and review of AYFS website.</p> |
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| 115.389 | Data storage, publication, and destruction |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The following policies, directives, memorandum and agency or facility supplements were reviewed for compliance with this standard.</p> <p>AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program</p> <p>AYFS 2022 Annual PREA Report</p> <p>AYFS 2021 Annual PREA Report</p> <p>115.389 (a)(b): AYFS Policy 5.5 Sexually Abusive Behavior Prevention and Intervention Program (PREA)- Data Collection and Review ensures that data collected are securely retained for at least 10 years according to AYFS Policy 5.5 and interview with Abraxas PREA coordinator.</p> <p>115.289 (b): AYFS makes all aggregated sexual abuse data from all its facilities made public annually on their website abraxasyfs.org. A review of the website confirmed that the agency has PREA reports from 2021 until 2022 uploaded to the above website.</p> <p>115.289 (c): Before making aggregated sexual abuse data public, AYFS removes all personal identifiers.</p> <p>115.289 (d): AYFS Policy 5.5 ensures that data collected are securely retained for at least 10 years.</p> <p>Compliance was determined by review of two (2) Annual Reports and interviews</p> |

with the PREA coordinator.

| 115.401 | Frequency and scope of audits |
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| | <p data-bbox="380 405 1013 436">Auditor Overall Determination: Meets Standard</p> <p data-bbox="380 474 639 506">Auditor Discussion</p> <p data-bbox="380 543 1425 764">115.401 (a): AYFS Policy 5.5- requires that a PREA auditor who has been certified through the Department of Justice audits each facility at least once. Camp Aspen latest audit was conducted in August 2020 by a DOJ Certified auditor while the facility was operated by the GEO Group. The original audit was conducted in 2017 by a DOJ certified auditor. This auditor's recertification was effective through December 2024.</p> <p data-bbox="380 802 1446 982">115.401 (b): According to Abraxas PREA Coordinator and the annual PREA report, during the three-year period beginning when AYFS purchased the GEO juvenile facilities, AYFS ensured that each of its facilities were audited at least once and continues to ensure that its facilities are audited every three years. According to AYFS coordinator all facilities are scheduled to be audited during this cycle.</p> <p data-bbox="380 993 1442 1136">115.401 (h): During the audit, I was allowed access to all areas of Camp Aspen Center. I was allowed to visit areas throughout the facility during the official tour and additional visits to different areas of the facility while interviewing and observing camera locations.</p> <p data-bbox="380 1171 1451 1276">115.401 (i): I requested personnel files, student files, training records, investigation files, logbooks, and pertinent forms utilized to carry out the requirement of the audit process. Each document was provided on a timely basis.</p> <p data-bbox="380 1312 1438 1455">115.401 (m): I interviewed staff on duty on the for the 16 hours of the on-site audit. and random sample of students during the onsite audit. No student declined to be interviewed and the facility did not prohibit me from interviewing students selected for interview. Interviews were conducted in a private area of the facility.</p> <p data-bbox="380 1491 1430 1829">115.401 (n): Students were notified six weeks prior to the onsite audit on posted facility notices in English and Spanish that they could send confidential correspondence to me and were given my name and mailing address. I did not receive any correspondence from students of the of the Camp Aspen CenterA1 meets the requirements of this standard based upon the following evidence: Since being incorporated as a nonprofit organization. The Auditor was provided complete access to the facility and observed all areas of the facility's buildings and grounds. Additionally, all relevant documents were provided upon request. The facility made space available for private staff and student interviews.</p> <p data-bbox="380 1864 1409 1969">Students, staff, contractors, visitors, and volunteers were provided information on the "Notice of the Auditor's Onsite Visit" regarding how to send confidential information to the Auditor. No correspondence was received by the Auditor.</p> |

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| 115.403 | Audit contents and findings |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | The center published its prior (2017) (2020) PREA Audit reports on the agency website. A review of the AYFS website (www.abraxasyfs.org) included all audits that have been completed for Abraxas Youth and Family Services centers. |

| Appendix: Provision Findings | | |
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| 115.311 (a) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment? | yes |
| | Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment? | yes |
| 115.311 (b) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | Has the agency employed or designated an agency-wide PREA Coordinator? | yes |
| | Is the PREA Coordinator position in the upper-level of the agency hierarchy? | yes |
| | Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities? | yes |
| 115.311 (c) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.) | yes |
| | Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.) | yes |
| 115.312 (a) | Contracting with other entities for the confinement of residents | |
| | If this agency is public and it contracts for the confinement of its residents with private agencies or other entities including other government agencies, has the agency included the entity's obligation to adopt and comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents.) | na |
| 115.312 (b) | Contracting with other entities for the confinement of residents | |

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| | Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents OR the response to 115.312(a)-1 is "NO".) | na |
| 115.313 (a) | Supervision and monitoring | |
| | Does the agency ensure that each facility has developed a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect residents against sexual abuse? | yes |
| | Does the agency ensure that each facility has implemented a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect residents against sexual abuse? | yes |
| | Does the agency ensure that each facility has documented a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect residents against sexual abuse? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: The prevalence of substantiated and unsubstantiated incidents of sexual abuse? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: Generally accepted juvenile detention and correctional/secure residential practices? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: Any judicial findings of inadequacy? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: Any findings of inadequacy from Federal investigative agencies? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate | yes |

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| | staffing levels and determining the need for video monitoring: Any findings of inadequacy from internal or external oversight bodies? | |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: All components of the facility's physical plant (including "blind-spots" or areas where staff or residents may be isolated)? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: The composition of the resident population? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: The number and placement of supervisory staff? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: Institution programs occurring on a particular shift? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: Any applicable State or local laws, regulations, or standards? | yes |
| | Does the agency ensure that each facility's staffing plan takes into consideration the 11 criteria below in calculating adequate staffing levels and determining the need for video monitoring: Any other relevant factors? | yes |
| 115.313 (b) | Supervision and monitoring | |
| | Does the agency comply with the staffing plan except during limited and discrete exigent circumstances? | yes |
| | In circumstances where the staffing plan is not complied with, does the facility fully document all deviations from the plan? (N/A if no deviations from staffing plan.) | na |
| 115.313 (c) | Supervision and monitoring | |
| | Does the facility maintain staff ratios of a minimum of 1:8 during resident waking hours, except during limited and discrete exigent circumstances? (N/A only until October 1, 2017.) | yes |

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| | Does the facility maintain staff ratios of a minimum of 1:16 during resident sleeping hours, except during limited and discrete exigent circumstances? (N/A only until October 1, 2017.) | yes |
| | Does the facility fully document any limited and discrete exigent circumstances during which the facility did not maintain staff ratios? (N/A only until October 1, 2017.) | yes |
| | Does the facility ensure only security staff are included when calculating these ratios? (N/A only until October 1, 2017.) | yes |
| | Is the facility obligated by law, regulation, or judicial consent decree to maintain the staffing ratios set forth in this paragraph? | yes |
| 115.313 (d) | Supervision and monitoring | |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section? | yes |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: Prevailing staffing patterns? | yes |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies? | yes |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan? | yes |
| 115.313 (e) | Supervision and monitoring | |
| | Has the facility implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment? (N/A for non-secure facilities) | yes |
| | Is this policy and practice implemented for night shifts as well as day shifts? (N/A for non-secure facilities) | yes |
| | Does the facility have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational | yes |

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| | functions of the facility? (N/A for non-secure facilities) | |
| 115.315 (a) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners? | yes |
| 115.315 (b) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from conducting cross-gender pat-down searches in non-exigent circumstances? | yes |
| 115.315 (c) | Limits to cross-gender viewing and searches | |
| | Does the facility document and justify all cross-gender strip searches and cross-gender visual body cavity searches? | yes |
| | Does the facility document all cross-gender pat-down searches? | yes |
| 115.315 (d) | Limits to cross-gender viewing and searches | |
| | Does the facility implement policies and procedures that enable residents to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? | yes |
| | Does the facility require staff of the opposite gender to announce their presence when entering a resident housing unit? | yes |
| | In facilities (such as group homes) that do not contain discrete housing units, does the facility require staff of the opposite gender to announce their presence when entering an area where residents are likely to be showering, performing bodily functions, or changing clothing? (N/A for facilities with discrete housing units) | yes |
| 115.315 (e) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from searching or physically examining transgender or intersex residents for the sole purpose of determining the resident's genital status? | yes |
| | If a resident's genital status is unknown, does the facility | yes |

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| | determine genital status during conversations with the resident, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner? | |
| 115.315 (f) | Limits to cross-gender viewing and searches | |
| | Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? | yes |
| | Does the facility/agency train security staff in how to conduct searches of transgender and intersex residents in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? | yes |
| 115.316 (a) | Residents with disabilities and residents who are limited English proficient | |
| | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are deaf or hard of hearing? | yes |
| | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are blind or have low vision? | yes |
| | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have intellectual disabilities? | yes |
| | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have psychiatric disabilities? | yes |
| | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: | yes |

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| | Residents who have speech disabilities? | |
| | Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other? (if "other," please explain in overall determination notes.) | yes |
| | Do such steps include, when necessary, ensuring effective communication with residents who are deaf or hard of hearing? | yes |
| | Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? | yes |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Have intellectual disabilities? | yes |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Have limited reading skills? | yes |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Who are blind or have low vision? | yes |
| 115.316 (b) | Residents with disabilities and residents who are limited English proficient | |
| | Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to residents who are limited English proficient? | yes |
| | Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? | yes |
| 115.316 (c) | Residents with disabilities and residents who are limited English proficient | |
| | Does the agency always refrain from relying on resident interpreters, resident readers, or other types of resident assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the resident's | yes |

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| | safety, the performance of first-response duties under §115.364, or the investigation of the resident's allegations? | |
| 115.317 (a) | Hiring and promotion decisions | |
| | Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? | yes |
| | Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? | yes |
| | Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the bullet immediately above? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above? | yes |
| 115.317 (b) | Hiring and promotion decisions | |
| | Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with residents? | yes |
| 115.317 | Hiring and promotion decisions | |

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| (c) | | |
| | Before hiring new employees who may have contact with residents, does the agency: Perform a criminal background records check? | yes |
| | Before hiring new employees who may have contact with residents, does the agency: Consult any child abuse registry maintained by the State or locality in which the employee would work? | yes |
| | Before hiring new employees who may have contact with residents, does the agency: Consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? | yes |
| 115.317 (d) | Hiring and promotion decisions | |
| | Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with residents? | yes |
| | Does the agency consult applicable child abuse registries before enlisting the services of any contractor who may have contact with residents? | yes |
| 115.317 (e) | Hiring and promotion decisions | |
| | Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with residents or have in place a system for otherwise capturing such information for current employees? | yes |
| 115.317 (f) | Hiring and promotion decisions | |
| | Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions? | yes |
| | Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current | yes |

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| | If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? | yes |
| | Has the agency documented its efforts to secure services from rape crisis centers? | yes |
| 115.321 (e) | Evidence protocol and forensic medical examinations | |
| | As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews? | yes |
| | As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals? | yes |
| 115.321 (f) | Evidence protocol and forensic medical examinations | |
| | If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating entity follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency is not responsible for investigating allegations of sexual abuse.) | yes |
| 115.321 (h) | Evidence protocol and forensic medical examinations | |
| | If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (Check N/A if agency attempts to make a victim advocate from a rape crisis center available to victims per 115.321(d) above.) | na |
| 115.322 (a) | Policies to ensure referrals of allegations for investigations | |
| | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse? | yes |
| | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment? | yes |

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| | employees? | |
| | Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct? | yes |
| 115.317 (g) | Hiring and promotion decisions | |
| | Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination? | yes |
| 115.317 (h) | Hiring and promotion decisions | |
| | Unless prohibited by law, does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.) | yes |
| 115.318 (a) | Upgrades to facilities and technologies | |
| | If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.) | na |
| 115.318 (b) | Upgrades to facilities and technologies | |
| | If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.) | na |
| 115.321 (a) | Evidence protocol and forensic medical examinations | |

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| | If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| 115.321 (b) | Evidence protocol and forensic medical examinations | |
| | Is this protocol developmentally appropriate for youth? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| | Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| 115.321 (c) | Evidence protocol and forensic medical examinations | |
| | Does the agency offer all residents who experience sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate? | yes |
| | Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible? | yes |
| | If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)? | yes |
| | Has the agency documented its efforts to provide SAFEs or SANEs? | yes |
| 115.321 (d) | Evidence protocol and forensic medical examinations | |
| | Does the agency attempt to make available to the victim a victim advocate from a rape crisis center? | yes |

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| 115.322 (b) | Policies to ensure referrals of allegations for investigations | |
| | Does the agency have a policy in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior? | yes |
| | Has the agency published such policy on its website or, if it does not have one, made the policy available through other means? | yes |
| | Does the agency document all such referrals? | yes |
| 115.322 (c) | Policies to ensure referrals of allegations for investigations | |
| | If a separate entity is responsible for conducting criminal investigations, does such publication describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.321(a)) | yes |
| 115.331 (a) | Employee training | |
| | Does the agency train all employees who may have contact with residents on: Its zero-tolerance policy for sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with residents on: How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures? | yes |
| | Does the agency train all employees who may have contact with residents on: Residents' right to be free from sexual abuse and sexual harassment | yes |
| | Does the agency train all employees who may have contact with residents on: The right of residents and employees to be free from retaliation for reporting sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with residents on: The dynamics of sexual abuse and sexual harassment in juvenile facilities? | yes |
| | Does the agency train all employees who may have contact with residents on: The common reactions of juvenile victims of sexual abuse and sexual harassment? | yes |

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| | Does the agency train all employees who may have contact with residents on: How to detect and respond to signs of threatened and actual sexual abuse and how to distinguish between consensual sexual contact and sexual abuse between residents? | yes |
| | Does the agency train all employees who may have contact with residents on: How to avoid inappropriate relationships with residents? | yes |
| | Does the agency train all employees who may have contact with residents on: How to communicate effectively and professionally with residents, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming residents? | yes |
| | Does the agency train all employees who may have contact with residents on: How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities? | yes |
| | Does the agency train all employees who may have contact with residents on: Relevant laws regarding the applicable age of consent? | yes |
| 115.331 (b) | Employee training | |
| | Is such training tailored to the unique needs and attributes of residents of juvenile facilities? | yes |
| | Is such training tailored to the gender of the residents at the employee's facility? | yes |
| | Have employees received additional training if reassigned from a facility that houses only male residents to a facility that houses only female residents, or vice versa? | yes |
| 115.331 (c) | Employee training | |
| | Have all current employees who may have contact with residents received such training? | yes |
| | Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures? | yes |
| | In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies? | yes |

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| 115.331 (d) | Employee training | |
| | Does the agency document, through employee signature or electronic verification, that employees understand the training they have received? | yes |
| 115.332 (a) | Volunteer and contractor training | |
| | Has the agency ensured that all volunteers and contractors who have contact with residents have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures? | yes |
| 115.332 (b) | Volunteer and contractor training | |
| | Have all volunteers and contractors who have contact with residents been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with residents)? | yes |
| 115.332 (c) | Volunteer and contractor training | |
| | Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received? | yes |
| 115.333 (a) | Resident education | |
| | During intake, do residents receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment? | yes |
| | During intake, do residents receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment? | yes |
| | Is this information presented in an age-appropriate fashion? | yes |
| 115.333 (b) | Resident education | |
| | Within 10 days of intake, does the agency provide age-appropriate | yes |

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| | comprehensive education to residents either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment? | |
| | Within 10 days of intake, does the agency provide age-appropriate comprehensive education to residents either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents? | yes |
| | Within 10 days of intake, does the agency provide age-appropriate comprehensive education to residents either in person or through video regarding: Agency policies and procedures for responding to such incidents? | yes |
| 115.333 (c) | Resident education | |
| | Have all residents received such education? | yes |
| | Do residents receive education upon transfer to a different facility to the extent that the policies and procedures of the resident's new facility differ from those of the previous facility? | yes |
| 115.333 (d) | Resident education | |
| | Does the agency provide resident education in formats accessible to all residents including those who: Are limited English proficient? | yes |
| | Does the agency provide resident education in formats accessible to all residents including those who: Are deaf? | yes |
| | Does the agency provide resident education in formats accessible to all residents including those who: Are visually impaired? | yes |
| | Does the agency provide resident education in formats accessible to all residents including those who: Are otherwise disabled? | yes |
| | Does the agency provide resident education in formats accessible to all residents including those who: Have limited reading skills? | yes |
| 115.333 (e) | Resident education | |
| | Does the agency maintain documentation of resident participation in these education sessions? | yes |
| 115.333 (f) | Resident education | |

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| | In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to residents through posters, resident handbooks, or other written formats? | yes |
| 115.334 (a) | Specialized training: Investigations | |
| | In addition to the general training provided to all employees pursuant to §115.331, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators have received training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.321(a).) | yes |
| 115.334 (b) | Specialized training: Investigations | |
| | Does this specialized training include: Techniques for interviewing juvenile sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.321(a).) | yes |
| | Does this specialized training include: Proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.321(a).) | yes |
| | Does this specialized training include: Sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.321(a).) | yes |
| | Does this specialized training include: The criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.321(a).) | yes |
| 115.334 (c) | Specialized training: Investigations | |
| | Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.321(a).) | yes |

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| 115.335 (a) | Specialized training: Medical and mental health care | |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to respond effectively and professionally to juvenile victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| 115.335 (b) | Specialized training: Medical and mental health care | |
| | If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.) | na |
| 115.335 (c) | Specialized training: Medical and mental health care | |
| | Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |

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| 115.335 (d) | Specialized training: Medical and mental health care | |
| | Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.331? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| | Do medical and mental health care practitioners contracted by and volunteering for the agency also receive training mandated for contractors and volunteers by §115.332? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.) | yes |
| 115.341 (a) | Obtaining information from residents | |
| | Within 72 hours of the resident's arrival at the facility, does the agency obtain and use information about each resident's personal history and behavior to reduce risk of sexual abuse by or upon a resident? | yes |
| | Does the agency also obtain this information periodically throughout a resident's confinement? | yes |
| 115.341 (b) | Obtaining information from residents | |
| | Are all PREA screening assessments conducted using an objective screening instrument? | yes |
| 115.341 (c) | Obtaining information from residents | |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Prior sexual victimization or abusiveness? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Any gender nonconforming appearance or manner or identification as lesbian, gay, bisexual, transgender, or intersex, and whether the resident may therefore be vulnerable to sexual abuse? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Current charges and offense history? | yes |

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| | the agency attempt to ascertain information about: Age? | |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Level of emotional and cognitive development? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Physical size and stature? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Mental illness or mental disabilities? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Intellectual or developmental disabilities? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Physical disabilities? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: The resident's own perception of vulnerability? | yes |
| | During these PREA screening assessments, at a minimum, does the agency attempt to ascertain information about: Any other specific information about individual residents that may indicate heightened needs for supervision, additional safety precautions, or separation from certain other residents? | yes |
| 115.341 (d) | Obtaining information from residents | |
| | Is this information ascertained: Through conversations with the resident during the intake process and medical mental health screenings? | yes |
| | Is this information ascertained: During classification assessments? | yes |
| | Is this information ascertained: By reviewing court records, case files, facility behavioral records, and other relevant documentation from the resident's files? | yes |
| 115.341 (e) | Obtaining information from residents | |
| | Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked | yes |

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| | pursuant to this standard in order to ensure that sensitive information is not exploited to the resident's detriment by staff or other residents? | |
| 115.342 (a) | Placement of residents | |
| | Does the agency use all of the information obtained pursuant to § 115.341 and subsequently, with the goal of keeping all residents safe and free from sexual abuse, to make: Housing Assignments? | yes |
| | Does the agency use all of the information obtained pursuant to § 115.341 and subsequently, with the goal of keeping all residents safe and free from sexual abuse, to make: Bed assignments? | yes |
| | Does the agency use all of the information obtained pursuant to § 115.341 and subsequently, with the goal of keeping all residents safe and free from sexual abuse, to make: Work Assignments? | yes |
| | Does the agency use all of the information obtained pursuant to § 115.341 and subsequently, with the goal of keeping all residents safe and free from sexual abuse, to make: Education Assignments? | yes |
| | Does the agency use all of the information obtained pursuant to § 115.341 and subsequently, with the goal of keeping all residents safe and free from sexual abuse, to make: Program Assignments? | yes |
| 115.342 (b) | Placement of residents | |
| | Are residents isolated from others only as a last resort when less restrictive measures are inadequate to keep them and other residents safe, and then only until an alternative means of keeping all residents safe can be arranged? | yes |
| | During any period of isolation, does the agency always refrain from denying residents daily large-muscle exercise? | yes |
| | During any period of isolation, does the agency always refrain from denying residents any legally required educational programming or special education services? | yes |
| | Do residents in isolation receive daily visits from a medical or mental health care clinician? | yes |
| | Do residents also have access to other programs and work opportunities to the extent possible? | yes |

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| 115.342 (c) | Placement of residents | |
| | Does the agency always refrain from placing: Lesbian, gay, and bisexual residents in particular housing, bed, or other assignments solely on the basis of such identification or status? | yes |
| | Does the agency always refrain from placing: Transgender residents in particular housing, bed, or other assignments solely on the basis of such identification or status? | yes |
| | Does the agency always refrain from placing: Intersex residents in particular housing, bed, or other assignments solely on the basis of such identification or status? | yes |
| | Does the agency always refrain from considering lesbian, gay, bisexual, transgender, or intersex identification or status as an indicator or likelihood of being sexually abusive? | yes |
| 115.342 (d) | Placement of residents | |
| | When deciding whether to assign a transgender or intersex resident to a facility for male or female residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns residents to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)? | yes |
| | When making housing or other program assignments for transgender or intersex residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems? | yes |
| 115.342 (e) | Placement of residents | |
| | Are placement and programming assignments for each transgender or intersex resident reassessed at least twice each year to review any threats to safety experienced by the resident? | yes |
| 115.342 (f) | Placement of residents | |
| | Are each transgender or intersex resident's own views with respect to his or her own safety given serious consideration when | yes |

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| | making facility and housing placement decisions and programming assignments? | |
| 115.342 (g) | Placement of residents | |
| | Are transgender and intersex residents given the opportunity to shower separately from other residents? | yes |
| 115.342 (h) | Placement of residents | |
| | If a resident is isolated pursuant to paragraph (b) of this section, does the facility clearly document: The basis for the facility's concern for the resident's safety? (N/A for h and i if facility doesn't use isolation?) | na |
| | If a resident is isolated pursuant to paragraph (b) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged? (N/A for h and i if facility doesn't use isolation?) | na |
| 115.342 (i) | Placement of residents | |
| | In the case of each resident who is isolated as a last resort when less restrictive measures are inadequate to keep them and other residents safe, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS? | yes |
| 115.351 (a) | Resident reporting | |
| | Does the agency provide multiple internal ways for residents to privately report: Sexual abuse and sexual harassment? | yes |
| | Does the agency provide multiple internal ways for residents to privately report: 2. Retaliation by other residents or staff for reporting sexual abuse and sexual harassment? | yes |
| | Does the agency provide multiple internal ways for residents to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents? | yes |
| 115.351 (b) | Resident reporting | |
| | Does the agency also provide at least one way for residents to report sexual abuse or sexual harassment to a public or private | yes |

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| | entity or office that is not part of the agency? | |
| | Is that private entity or office able to receive and immediately forward resident reports of sexual abuse and sexual harassment to agency officials? | yes |
| | Does that private entity or office allow the resident to remain anonymous upon request? | yes |
| | Are residents detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security to report sexual abuse or harassment? | yes |
| 115.351 (c) | Resident reporting | |
| | Do staff members accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties? | yes |
| | Do staff members promptly document any verbal reports of sexual abuse and sexual harassment? | yes |
| 115.351 (d) | Resident reporting | |
| | Does the facility provide residents with access to tools necessary to make a written report? | yes |
| 115.351 (e) | Resident reporting | |
| | Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of residents? | yes |
| 115.352 (a) | Exhaustion of administrative remedies | |
| | Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address resident grievances regarding sexual abuse. This does not mean the agency is exempt simply because a resident does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. | yes |
| 115.352 (b) | Exhaustion of administrative remedies | |

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| | entity or office that is not part of the agency? | |
| | Is that private entity or office able to receive and immediately forward resident reports of sexual abuse and sexual harassment to agency officials? | yes |
| | Does that private entity or office allow the resident to remain anonymous upon request? | yes |
| | Are residents detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security to report sexual abuse or harassment? | yes |
| 115.351 (c) | Resident reporting | |
| | Do staff members accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties? | yes |
| | Do staff members promptly document any verbal reports of sexual abuse and sexual harassment? | yes |
| 115.351 (d) | Resident reporting | |
| | Does the facility provide residents with access to tools necessary to make a written report? | yes |
| 115.351 (e) | Resident reporting | |
| | Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of residents? | yes |
| 115.352 (a) | Exhaustion of administrative remedies | |
| | Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address resident grievances regarding sexual abuse. This does not mean the agency is exempt simply because a resident does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. | yes |
| 115.352 (b) | Exhaustion of administrative remedies | |

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| | Does the agency permit residents to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.) | yes |
| | Does the agency always refrain from requiring an resident to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| 115.352 (c) | Exhaustion of administrative remedies | |
| | Does the agency ensure that: A resident who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) | yes |
| | Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) | yes |
| 115.352 (d) | Exhaustion of administrative remedies | |
| | Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by residents in preparing any administrative appeal.) (N/A if agency is exempt from this standard.) | yes |
| | If the agency determines that the 90 day timeframe is insufficient to make an appropriate decision and claims an extension of time (the maximum allowable extension of time to respond is 70 days per 115.352(d)(3)) , does the agency notify the resident in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.) | yes |
| | At any level of the administrative process, including the final level, if the resident does not receive a response within the time allotted for reply, including any properly noticed extension, may a resident consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.) | yes |
| 115.352 (e) | Exhaustion of administrative remedies | |

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| | Are third parties, including fellow residents, staff members, family members, attorneys, and outside advocates, permitted to assist residents in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | Are those third parties also permitted to file such requests on behalf of residents? (If a third party, other than a parent or legal guardian, files such a request on behalf of a resident, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.) | yes |
| | If the resident declines to have the request processed on his or her behalf, does the agency document the resident's decision? (N/A if agency is exempt from this standard.) | yes |
| | Is a parent or legal guardian of a juvenile allowed to file a grievance regarding allegations of sexual abuse, including appeals, on behalf of such juvenile? (N/A if agency is exempt from this standard.) | yes |
| | If a parent or legal guardian of a juvenile files a grievance (or an appeal) on behalf of a juvenile regarding allegations of sexual abuse, is it the case that those grievances are not conditioned upon the juvenile agreeing to have the request filed on his or her behalf? (N/A if agency is exempt from this standard.) | yes |
| 115.352 (f) | Exhaustion of administrative remedies | |
| | Has the agency established procedures for the filing of an emergency grievance alleging that a resident is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance alleging a resident is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.) | yes |

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| | After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.) | yes |
| | Does the initial response and final agency decision document the agency's determination whether the resident is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) | yes |
| | Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) | yes |
| 115.352 (g) | Exhaustion of administrative remedies | |
| | If the agency disciplines a resident for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the resident filed the grievance in bad faith? (N/A if agency is exempt from this standard.) | yes |
| 115.353 (a) | Resident access to outside confidential support services and legal representation | |
| | Does the facility provide residents with access to outside victim advocates for emotional support services related to sexual abuse by providing, posting, or otherwise making accessible mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations? | yes |
| | Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? | yes |
| | Does the facility enable reasonable communication between residents and these organizations and agencies, in as confidential a manner as possible? | yes |
| 115.353 (b) | Resident access to outside confidential support services and legal representation | |
| | Does the facility inform residents, prior to giving them access, of the extent to which such communications will be monitored and | yes |

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| | the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws? | |
| 115.353 (c) | Resident access to outside confidential support services and legal representation | |
| | Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide residents with confidential emotional support services related to sexual abuse? | yes |
| | Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements? | yes |
| 115.353 (d) | Resident access to outside confidential support services and legal representation | |
| | Does the facility provide residents with reasonable and confidential access to their attorneys or other legal representation? | yes |
| | Does the facility provide residents with reasonable access to parents or legal guardians? | yes |
| 115.354 (a) | Third-party reporting | |
| | Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment? | yes |
| | Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of a resident? | yes |
| 115.361 (a) | Staff and agency reporting duties | |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information they receive regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency? | yes |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information they receive regarding retaliation against residents or staff who reported an incident of sexual abuse or sexual harassment? | yes |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or | yes |

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| | information they receive regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation? | |
| 115.361 (b) | Staff and agency reporting duties | |
| | Does the agency require all staff to comply with any applicable mandatory child abuse reporting laws? | yes |
| 115.361 (c) | Staff and agency reporting duties | |
| | Apart from reporting to designated supervisors or officials and designated State or local services agencies, are staff prohibited from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? | yes |
| 115.361 (d) | Staff and agency reporting duties | |
| | Are medical and mental health practitioners required to report sexual abuse to designated supervisors and officials pursuant to paragraph (a) of this section as well as to the designated State or local services agency where required by mandatory reporting laws? | yes |
| | Are medical and mental health practitioners required to inform residents of their duty to report, and the limitations of confidentiality, at the initiation of services? | yes |
| 115.361 (e) | Staff and agency reporting duties | |
| | Upon receiving any allegation of sexual abuse, does the facility head or his or her designee promptly report the allegation to the appropriate office? | yes |
| | Upon receiving any allegation of sexual abuse, does the facility head or his or her designee promptly report the allegation to the alleged victim's parents or legal guardians unless the facility has official documentation showing the parents or legal guardians should not be notified? | yes |
| | If the alleged victim is under the guardianship of the child welfare system, does the facility head or his or her designee promptly report the allegation to the alleged victim's caseworker instead of | yes |

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| | the parents or legal guardians? (N/A if the alleged victim is not under the guardianship of the child welfare system.) | |
| | If a juvenile court retains jurisdiction over the alleged victim, does the facility head or designee also report the allegation to the juvenile's attorney or other legal representative of record within 14 days of receiving the allegation? | yes |
| 115.361 (f) | Staff and agency reporting duties | |
| | Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators? | yes |
| 115.362 (a) | Agency protection duties | |
| | When the agency learns that a resident is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the resident? | yes |
| 115.363 (a) | Reporting to other confinement facilities | |
| | Upon receiving an allegation that a resident was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred? | yes |
| | Does the head of the facility that received the allegation also notify the appropriate investigative agency? | yes |
| 115.363 (b) | Reporting to other confinement facilities | |
| | Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation? | yes |
| 115.363 (c) | Reporting to other confinement facilities | |
| | Does the agency document that it has provided such notification? | yes |
| 115.363 (d) | Reporting to other confinement facilities | |
| | Does the facility head or agency office that receives such notification ensure that the allegation is investigated in | yes |

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| | accordance with these standards? | |
| 115.364 (a) | Staff first responder duties | |
| | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser? | yes |
| | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence? | yes |
| | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| | Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| 115.364 (b) | Staff first responder duties | |
| | If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff? | yes |
| 115.365 (a) | Coordinated response | |
| | Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse? | yes |
| 115.366 (a) | Preservation of ability to protect residents from contact with abusers | |

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| | of sexual abuse, does the agency: Act promptly to remedy any such retaliation? | |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor: Any resident disciplinary reports? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor: Resident housing changes? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor: Resident program changes? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor: Negative performance reviews of staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor: Reassignments of staff? | yes |
| | Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need? | yes |
| 115.367 (d) | Agency protection against retaliation | |
| | In the case of residents, does such monitoring also include periodic status checks? | yes |
| 115.367 (e) | Agency protection against retaliation | |
| | If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation? | yes |
| 115.368 (a) | Post-allegation protective custody | |
| | Is any and all use of segregated housing to protect a resident who is alleged to have suffered sexual abuse subject to the requirements of § 115.342? | yes |

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| | Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limits the agency's ability to remove alleged staff sexual abusers from contact with any residents pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted? | yes |
| 115.367 (a) | Agency protection against retaliation | |
| | Has the agency established a policy to protect all residents and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other residents or staff? | yes |
| | Has the agency designated which staff members or departments are charged with monitoring retaliation? | yes |
| 115.367 (b) | Agency protection against retaliation | |
| | Does the agency employ multiple protection measures for residents or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations, such as housing changes or transfers for resident victims or abusers, removal of alleged staff or resident abusers from contact with victims, and emotional support services? | yes |
| 115.367 (c) | Agency protection against retaliation | |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report | yes |

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| 115.371 (a) | Criminal and administrative agency investigations | |
| | When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency does not conduct any form of administrative or criminal investigations of sexual abuse or harassment. See 115.321(a).) | yes |
| | Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency does not conduct any form of administrative or criminal investigations of sexual abuse or harassment. See 115.321(a).) | yes |
| 115.371 (b) | Criminal and administrative agency investigations | |
| | Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations involving juvenile victims as required by 115.334? | yes |
| 115.371 (c) | Criminal and administrative agency investigations | |
| | Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data? | yes |
| | Do investigators interview alleged victims, suspected perpetrators, and witnesses? | yes |
| | Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator? | yes |
| 115.371 (d) | Criminal and administrative agency investigations | |
| | Does the agency always refrain from terminating an investigation solely because the source of the allegation recants the allegation? | yes |
| 115.371 (e) | Criminal and administrative agency investigations | |
| | When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution? | yes |
| 115.371 | Criminal and administrative agency investigations | |

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| (f) | | |
| | Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as resident or staff? | yes |
| | Does the agency investigate allegations of sexual abuse without requiring a resident who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding? | yes |
| 115.371 (g) | Criminal and administrative agency investigations | |
| | Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse? | yes |
| | Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings? | yes |
| 115.371 (h) | Criminal and administrative agency investigations | |
| | Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible? | yes |
| 115.371 (i) | Criminal and administrative agency investigations | |
| | Are all substantiated allegations of conduct that appears to be criminal referred for prosecution? | yes |
| 115.371 (j) | Criminal and administrative agency investigations | |
| | Does the agency retain all written reports referenced in 115.371(g) and (h) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years unless the abuse was committed by a juvenile resident and applicable law requires a shorter period of retention? | yes |
| 115.371 (k) | Criminal and administrative agency investigations | |
| | Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the facility or agency | yes |

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| | does not provide a basis for terminating an investigation? | |
| 115.371 (m) | Criminal and administrative agency investigations | |
| | When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.321(a).) | yes |
| 115.372 (a) | Evidentiary standard for administrative investigations | |
| | Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated? | yes |
| 115.373 (a) | Reporting to residents | |
| | Following an investigation into a resident's allegation of sexual abuse suffered in the facility, does the agency inform the resident as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded? | yes |
| 115.373 (b) | Reporting to residents | |
| | If the agency did not conduct the investigation into a resident's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the resident? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.) | yes |
| 115.373 (c) | Reporting to residents | |
| | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the resident's unit? | yes |
| | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency | yes |

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| | has determined that the allegation is unfounded or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility? | |
| | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility? | yes |
| | Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? | yes |
| 115.373 (d) | Reporting to residents | |
| | Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility? | yes |
| | Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility? | yes |
| 115.373 (e) | Reporting to residents | |
| | Does the agency document all such notifications or attempted notifications? | yes |
| 115.376 (a) | Disciplinary sanctions for staff | |
| | Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies? | yes |

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| 115.376 (b) | Disciplinary sanctions for staff | |
| | Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse? | yes |
| 115.376 (c) | Disciplinary sanctions for staff | |
| | Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories? | yes |
| 115.376 (d) | Disciplinary sanctions for staff | |
| | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies, unless the activity was clearly not criminal? | yes |
| | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies? | yes |
| 115.377 (a) | Corrective action for contractors and volunteers | |
| | Is any contractor or volunteer who engages in sexual abuse prohibited from contact with residents? | yes |
| | Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)? | yes |
| | Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies? | yes |
| 115.377 (b) | Corrective action for contractors and volunteers | |
| | In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with residents? | yes |

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| 115.378 (a) | Interventions and disciplinary sanctions for residents | |
| | Following an administrative finding that a resident engaged in resident-on-resident sexual abuse, or following a criminal finding of guilt for resident-on-resident sexual abuse, may residents be subject to disciplinary sanctions only pursuant to a formal disciplinary process? | yes |
| 115.378 (b) | Interventions and disciplinary sanctions for residents | |
| | Are disciplinary sanctions commensurate with the nature and circumstances of the abuse committed, the resident's disciplinary history, and the sanctions imposed for comparable offenses by other residents with similar histories? | yes |
| | In the event a disciplinary sanction results in the isolation of a resident, does the agency ensure the resident is not denied daily large-muscle exercise? | yes |
| | In the event a disciplinary sanction results in the isolation of a resident, does the agency ensure the resident is not denied access to any legally required educational programming or special education services? | yes |
| | In the event a disciplinary sanction results in the isolation of a resident, does the agency ensure the resident receives daily visits from a medical or mental health care clinician? | yes |
| | In the event a disciplinary sanction results in the isolation of a resident, does the resident also have access to other programs and work opportunities to the extent possible? | yes |
| 115.378 (c) | Interventions and disciplinary sanctions for residents | |
| | When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether a resident's mental disabilities or mental illness contributed to his or her behavior? | yes |
| 115.378 (d) | Interventions and disciplinary sanctions for residents | |
| | If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to offer the offending resident participation in such interventions? | yes |

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| | If the agency requires participation in such interventions as a condition of access to any rewards-based behavior management system or other behavior-based incentives, does it always refrain from requiring such participation as a condition to accessing general programming or education? | yes |
| 115.378 (e) | Interventions and disciplinary sanctions for residents | |
| | Does the agency discipline a resident for sexual contact with staff only upon a finding that the staff member did not consent to such contact? | yes |
| 115.378 (f) | Interventions and disciplinary sanctions for residents | |
| | For the purpose of disciplinary action, does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation? | yes |
| 115.378 (g) | Interventions and disciplinary sanctions for residents | |
| | Does the agency always refrain from considering non-coercive sexual activity between residents to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between residents.) | yes |
| 115.381 (a) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.341 indicates that a resident has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the resident is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? | yes |
| 115.381 (b) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.341 indicates that a resident has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the resident is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? | yes |
| 115.381 (c) | Medical and mental health screenings; history of sexual abuse | |

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| | Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law? | yes |
| 115.381 (d) | Medical and mental health screenings; history of sexual abuse | |
| | Do medical and mental health practitioners obtain informed consent from residents before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the resident is under the age of 18? | yes |
| 115.382 (a) | Access to emergency medical and mental health services | |
| | Do resident victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment? | yes |
| 115.382 (b) | Access to emergency medical and mental health services | |
| | If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do staff first responders take preliminary steps to protect the victim pursuant to § 115.362? | yes |
| | Do staff first responders immediately notify the appropriate medical and mental health practitioners? | yes |
| 115.382 (c) | Access to emergency medical and mental health services | |
| | Are resident victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate? | yes |
| 115.382 (d) | Access to emergency medical and mental health services | |
| | Are treatment services provided to the victim without financial | yes |

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| | cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? | |
| 115.383 (a) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all residents who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility? | yes |
| 115.383 (b) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody? | yes |
| 115.383 (c) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the facility provide such victims with medical and mental health services consistent with the community level of care? | yes |
| 115.383 (d) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are resident victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if all-male facility.) | na |
| 115.383 (e) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | If pregnancy results from the conduct described in paragraph § 115.383(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if all-male facility.) | na |
| 115.383 (f) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are resident victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate? | yes |
| 115.383 (g) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or | yes |

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| | cooperates with any investigation arising out of the incident? | |
| 115.383 (h) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the facility attempt to conduct a mental health evaluation of all known resident-on-resident abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? | yes |
| 115.386 (a) | Sexual abuse incident reviews | |
| | Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded? | yes |
| 115.386 (b) | Sexual abuse incident reviews | |
| | Does such review ordinarily occur within 30 days of the conclusion of the investigation? | yes |
| 115.386 (c) | Sexual abuse incident reviews | |
| | Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners? | yes |
| 115.386 (d) | Sexual abuse incident reviews | |
| | Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse? | yes |
| | Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility? | yes |
| | Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse? | yes |
| | Does the review team: Assess the adequacy of staffing levels in that area during different shifts? | yes |

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| | Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff? | yes |
| | Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.386(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager? | yes |
| 115.386 (e) | Sexual abuse incident reviews | |
| | Does the facility implement the recommendations for improvement, or document its reasons for not doing so? | yes |
| 115.387 (a) | Data collection | |
| | Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions? | yes |
| 115.387 (b) | Data collection | |
| | Does the agency aggregate the incident-based sexual abuse data at least annually? | yes |
| 115.387 (c) | Data collection | |
| | Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice? | yes |
| 115.387 (d) | Data collection | |
| | Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews? | yes |
| 115.387 (e) | Data collection | |
| | Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its residents? (N/A if agency does not contract for | na |

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| | the confinement of its residents.) | |
| 115.387 (f) | Data collection | |
| | Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.) | yes |
| 115.388 (a) | Data review for corrective action | |
| | Does the agency review data collected and aggregated pursuant to § 115.387 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas? | yes |
| | Does the agency review data collected and aggregated pursuant to § 115.387 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis? | yes |
| | Does the agency review data collected and aggregated pursuant to § 115.387 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole? | yes |
| 115.388 (b) | Data review for corrective action | |
| | Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse? | yes |
| 115.388 (c) | Data review for corrective action | |
| | Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means? | yes |
| 115.388 (d) | Data review for corrective action | |
| | Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when | yes |

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| | publication would present a clear and specific threat to the safety and security of a facility? | |
| 115.389 (a) | Data storage, publication, and destruction | |
| | Does the agency ensure that data collected pursuant to § 115.387 are securely retained? | yes |
| 115.389 (b) | Data storage, publication, and destruction | |
| | Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means? | yes |
| 115.389 (c) | Data storage, publication, and destruction | |
| | Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available? | yes |
| 115.389 (d) | Data storage, publication, and destruction | |
| | Does the agency maintain sexual abuse data collected pursuant to § 115.387 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise? | yes |
| 115.401 (a) | Frequency and scope of audits | |
| | During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.) | yes |
| 115.401 (b) | Frequency and scope of audits | |
| | Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.) | no |
| | If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.) | yes |

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| | If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.) | na |
| 115.401 (h) | Frequency and scope of audits | |
| | Did the auditor have access to, and the ability to observe, all areas of the audited facility? | yes |
| 115.401 (i) | Frequency and scope of audits | |
| | Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)? | yes |
| 115.401 (m) | Frequency and scope of audits | |
| | Was the auditor permitted to conduct private interviews with inmates, residents, and detainees? | yes |
| 115.401 (n) | Frequency and scope of audits | |
| | Were inmates, residents, and detainees permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel? | yes |
| 115.403 (f) | Audit contents and findings | |
| | The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.) | yes |